

**30<sup>TH</sup>**

**ANNUAL REPORT**

**2020 - 2021**

**MyMoney<sup>TM</sup>**  
**SECURITIES LIMITED**

**BOARD OF DIRECTORS**

Mr. Sandeep Gupta - Non-Executive Chairman  
Sh. Vikas Seth - Managing Director  
Sh. Sanjai Seth - Whole-Time Director  
Mr. Ranjan Srinath - Independent Director  
Smt. Rajni Seth - Non-Executive Director

**AUDIT COMMITTEE**

Mr. Ranjan Srinath – Chairman  
Mr. Sanjai Seth – Member  
Mr. Sandeep Gupta – Member

**STAKEHOLDERS RELATIONSHIP COMMITTEE**

Mr. Ranjan Srinath – Chairman  
Mr. Sanjai Seth – Member  
Mr. Sandeep Gupta – Member

**NOMINATION AND REMUNERATION COMMITTEE**

Mr. Ranjan Srinath – Chairman  
Mrs. Rajni Seth – Member  
Mr. Sandeep Gupta – Member

**RISK MANAGEMENT COMMITTEE**

Mr. Ranjan Srinath – Chairman  
Mrs. Rajni Seth – Member  
Mr. Sanjai Seth – Member

**COMPANY SECRETARY & COMPLAINEE OFFICER**

Ms. Manisha

**CHIEF FINANCIAL OFFICER**

Mr. Pawan Chawla

**STATUTORY AUDITORS**

M/s ADIWISE M.K. & ASSOCIATES  
301, Vardman Plaza, Plot No. 3  
F-Block LSC, Bhera Enclave  
New Delhi-110087

**INTERNAL AUDITOR**

M/s Rakesh Sharma Associates  
316, Dhaka Chambers,  
2068/39, Naiwala,  
Karol Bagh, New Delhi - 110005

**BANKERS**

Canara Bank  
O.B.C.

**BRANCH OFFICE**

Ground Floor, Gopal mansion, Cinema Road  
(Behind Metro Cinema), Dhobi Talao, Mumbai – 400020

**REGISTERED OFFICE**

10-A Under Hill Lane, Civil Lines  
Delhi-110 054  
Ph. 011-23937870, 23930655, Telefax : 011-23924241  
Email : [mymoneyviewsatoutlook.com](mailto:mymoneyviewsatoutlook.com)

**REGISTRAR AND SHARE TRANSFER AGENT**

Mas Services Ltd.  
T-34, 2nd Floor  
Okhla Industrial Area Phase-II  
New Delhi-110 020  
Ph. 011-26387281-83  
Email : [info@masserv.com](mailto:info@masserv.com)

<b>CONTENTS</b>	<b>Page No.</b>
Notice	1
Directors' Report	17
Management Discussion & Analysis Report	28
Report on Corporate Governance	39
Auditors Report	53
Balance Sheet	60
Profit & Loss Account	61
Cash Flow Statement	62
Notes to Financial Statements	64

## NOTICE

**NOTICE** is hereby given that the 30<sup>th</sup> Annual General Meeting of My Money Securities Limited will be held on Sunday 26<sup>th</sup> September, 2021 at 12.30 P.M. through Video Conferencing (“VC”) / Other Audio Visual Means (“OAVM”) to transact the following business:

### **AS ORDINARY BUSINESS:**

1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2021, including the audited Balance sheet as at March, 31, 2021, the statement of Profit and Loss for the year ended on that date and the reports of the Board of Directors and Auditors thereon.
2. To appoint Statutory Auditor and to fix their remuneration and in this regard to consider, and if thought fit, to pass with or without modification(s) the following resolution as an Ordinary Resolution:

“**RESOLVED THAT** pursuant to provision of Section 139 and 141 of the Companies Act, 2013, read with Companies (Audit and Auditors) Rules, 2014, and Regulation 18, 36(5) of Securities and Exchange Board of India (Listing Obligations and Disclosure requirements) Regulations, 2015, any other applicable provisions, if any, of the Act, (including any statutory modifications or re-enactment thereof for the time being in force in India) and pursuant to the recommendation made by the Audit Committee, **M/s SHARMA GOEL & CO. LLP, Chartered Accountants**, be and are hereby appointed as the Statutory Auditor of the Company to hold office from the conclusion of this Annual General Meeting till the conclusion of 35<sup>th</sup> Annual General Meeting of the company to be held in the year 2026.

**FURTHER RESOLVED THAT** the Board or its committee be and is hereby authorized to fix such remuneration of the Auditor as mutually agreed, and reimbursement of all out-of-pocket expenses as may be incurred in connection with the audit of the accounts of the Company.”

3. To appoint a Director in place of Mrs. Rajni Seth (DIN: 00350604) who retires by rotation and being eligible offers herself for the re-appointment.

### **AS SPECIAL BUSINESS:**

4. **To Re-appoint Mr. Sanjai Seth (DIN: 00350518) as Whole – Time Director**

To consider and thought fit, to pass with or without modification(s) the following resolution as Special Resolution;

“**RESOLVED THAT** pursuant to recommendations of the nomination & remuneration committee and approval of Board and subject to the provisions of section 196, 197, 198 and 203 of Companies Act, 2013 read with Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 and Schedule V of Companies Act, 2013 (including any statutory modification(s) or re-enactment thereof, approval of members of the company be and is hereby accorded to re-appoint Mr. Sanjai Seth (DIN: 00350518) as Whole-Time Director of the company with effect from November 01, 2021 to October 30, 2026 and payment of salary, commission and perquisites (hereinafter called remuneration) upon the terms and conditions as mentioned in detail in the Explanatory Statement attached hereto, which is hereby agreed and sanctioned by the board of directors and to vary the terms and conditions of re-appointment, if required, in such manner as may be agreed between the board and Mr. SANJAI SETH.

**FURTHER RESOLVED THAT** the total remuneration payable to Mr. SANJAI SETH shall not exceed the overall limit of total managerial remuneration as specified in section 197 of Companies Act, 2013 or such other limits as may be prescribed from time to time.

**FURTHER RESOLVED THAT** Board of the company be and is hereby authorized to do all such acts, deeds and things and to file necessary documents, intimations and forms with Registrar of Companies and other regulatory authorities and/ or to delegate all or any of its power(s) herein conferred to any committee of Directors to give effect to the said resolution.”

5. **Issuance of Equity Shares on Preferential Basis to the Persons Belonging to Promoter and Non-promoter Category**

To consider and if thought fit, to pass the following resolution as a **Special Resolution**:

“**RESOLVED THAT** pursuant to the provisions of Sections 23(1)(b), 42, 62(1)(c) and other applicable provisions, if any, of

the Companies Act, 2013 (hereinafter referred to as the “Act”) read with the Companies (Prospectus and Allotment of Securities) Rules, 2014, as amended and the Companies (Share Capital and Debentures) Rules, 2014, as amended and other relevant rules made there under (including any statutory modification(s) thereto or re-enactment thereof for the time being in force), enabling provisions in Memorandum and Articles of Association of the Company, provisions of the uniform listing agreement entered into by the Company with BSE Limited where the shares of the Company are listed (“Stock Exchange”), and in accordance with the guidelines, rules and regulations of the Securities and Exchange Board of India (“SEBI”), as amended including the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018, as amended (“SEBI ICDR Regulations”), the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, and in accordance with other applicable rules, regulations, circulars, notifications, clarifications and guidelines issued thereon, from time to time, by the Ministry of Corporate Affairs, SEBI and / or any other competent authorities, and subject to the approvals, consents, permissions and / or sanctions, as may be required from the Government of India, SEBI, Stock Exchange(s) and any other relevant statutory, regulatory, governmental authorities or departments, institutions or bodies and subject to such terms, conditions, alterations, corrections, changes, variations and / or modifications, if any, as may be prescribed by any one or more or all of them in granting such approvals, consents, permissions and / or sanctions and which may be agreed to by the Board of Directors of the Company (hereinafter referred to as the “Board” which term shall be deemed to include any Committee, which the Board has constituted or may hereafter constitute, to exercise one or more of its powers, including the powers conferred hereunder), **consent of the members of the Company be and is hereby accorded to the Board** to create, issue, offer and allot, on a preferential basis, **up to 35,00,000 (Thirty Five Lakh) Equity Shares** of face value of Rs.10/- (Rupees Ten Only) each, fully paid up, for cash, to be issued at a price of **Rs. 12/- (Rupees Twelve Only) per Equity Share**, determined in accordance with the provisions of Chapter V of SEBI ICDR Regulations, for an aggregate amount of **up to Rs. 4,20,00,000/- (Rupees Four Crore Twenty Lakh Only)**, on such further terms and conditions as may be finalized by the Board of Directors, to the below mentioned persons (“Proposed Allottees”):

Sr. No.	Name of proposed allottee	Category	No. of Equity Shares
1.	Mr. Sanjai Seth	Promoter	9,00,000
2.	Mr. Vikas Seth	Promoter	4,00,000
3.	Camco Commodities Private Limited	Promoter Group	4,00,000
4.	My Money Technologies Private Limited	Promoter Group	4,00,000
5.	Mehak Infracon (P) Limited	Public	8,00,000
6.	Yukti Securities (P) Limited	Public	5,00,000
7.	IT&T Entertainment Services (P) Limited	Public	1,00,000
	<b>Total</b>		<b>35,00,000</b>

**RESOLVED FURTHER THAT** the “Relevant Date”, as per the SEBI ICDR Regulations, as amended up to date, for the determination of issue price of Equity Shares is Friday, August 27, 2021, being the date 30 days prior to the date of Annual General Meeting to be held on Sunday, September 26, 2021.

**RESOLVED FURTHER THAT** the aforesaid issue of Equity Shares shall be subject to the following terms and conditions:

- The Equity Shares to be allotted to the Proposed Allottees shall be under lock-in for such period as may be prescribed by the SEBI ICDR Regulations, 2018;
- The Equity Shares so allotted to the Proposed Allottees under this resolution shall not be sold, transferred, hypothecated or encumbered in any manner during the period of lock-in provided under SEBI ICDR Regulations, 2018 except to the extent and in the manner permitted thereunder;
- Allotment shall only be made in dematerialized form;
- The consideration for allotment of Equity Shares shall be paid to the Company from the bank account of the Proposed Allottee(s).
- The allotment of Equity Shares is proposed to be completed within a maximum period of 15 days from the date of passing this resolution, provided that where the allotment is pending on account of pendency of any approval by any regulatory authority, or the Central Government then, the allotment shall be completed within 15 days from the date of receipt of such approval;
- The Equity Shares proposed to be issued shall rank pari passu with the existing Equity Shares of the Company in all

respects and that the Equity Shares so allotted shall be entitled to the dividend declared, if any, including other corporate benefits, if any, for which the book closure or the record date falls subsequent to the allotment of Equity Shares.

- The issue and allotment of Equity Shares shall be subject to the requirements of all applicable laws and shall be subject to the provisions of the Memorandum of Association and Articles of Association of the Company.

**RESOLVED FURTHER THAT** for the purpose of giving effect to this resolution, the board of directors and the Company Secretary of the Company be and are hereby severally authorized to do all such acts, deeds, matters and things as it may in its absolute discretion consider necessary, desirable or expedient including application to Stock Exchange for obtaining of in-principle approval, listing of shares, filing of requisite documents with the Registrar of Companies, National Securities Depository Limited (NSDL), Central Depository Services (India) Limited (CDSL) and/ or such other authorities as may be necessary for the purpose, to resolve and settle any questions and difficulties that may arise in the proposed issue, offer and allotment of the said Equity Shares, utilization of issue proceeds, signing of all deeds and documents as may be required.”

### 6. **Issuance of Fully Convertible Warrants on Preferential Basis to the persons belonging to the Promoter Category**

To consider and if thought fit, to pass the following resolution as a **Special Resolution**:

“**RESOLVED THAT** pursuant to the provisions of Sections 23(1)(b), 42, 62(1)(c) and other applicable provisions, if any, of the Companies Act, 2013 (hereinafter referred to as the “Act”) read with the Companies (Prospectus and Allotment of Securities) Rules, 2014, as amended and the Companies (Share Capital and Debentures) Rules, 2014, as amended and other relevant rules made there under (including any statutory modification(s) thereto or re-enactment thereof for the time being in force), enabling provisions in Memorandum and Articles of Association of the Company, provisions of the uniform listing agreements entered into by the Company with the BSE Limited where the shares of the Company are listed (“Stock Exchange”), and in accordance with the guidelines, rules and regulations of the Securities and Exchange Board of India (“SEBI”), as amended including the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018, as amended (“SEBI ICDR Regulations”), the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended and in accordance with other applicable rules, regulations, circulars, notifications, clarifications and guidelines issued thereon, from time to time, by the Ministry of Corporate Affairs, SEBI and / or any other competent authorities, and subject to the approvals, consents, permissions and / or sanctions, as may be required from the Government of India, SEBI, Stock Exchange(s) and any other relevant statutory, regulatory, governmental authorities or departments, institutions or bodies and subject to such terms, conditions, alterations, corrections, changes, variations and / or modifications, if any, as may be prescribed by any one or more or all of them in granting such approvals, consents, permissions and / or sanctions and which may be agreed to by the Board of Directors of the Company (hereinafter referred to as the “Board” which term shall be deemed to include any Committee, which the Board has constituted or may hereafter constitute, to exercise one or more of its powers, including the powers conferred hereunder), **consent of the members of the Company be and is hereby accorded to the Board** to create, issue, offer and allot, on a preferential basis, **up to 33,00,000 (Thirty Three Lakh) Fully Convertible Warrants (“Warrants”)**, for cash, at an issue price of **Rs. 12/- (Rupees Twelve Only) per warrant**, determined in accordance with the provisions of Chapter V of SEBI (ICDR) Regulations, to be convertible at an option of Warrant holder(s) in one or more tranches, within 18 (eighteen) months from its allotment date into equivalent number of fully paid-up Equity Shares of face value of **Rs. 10/- (Rupees Ten Only) each**, for cash, for an aggregate amount of **up to Rs. 3,96,00,000/- (Rupees Three Crore Ninety-Six Lakh Only)** and to issue fresh Equity Shares on the conversion of Warrants on such further terms and conditions as may be finalized by the Board of Directors, to the below-mentioned persons (“Proposed Allottees”):

Sr. No.	Name of proposed Allottee	Category	No. of Warrant
1	Mr. Sanjai Seth	Promoter	15,00,000
2	Camco Commodities Private Limited	Promoter Group	9,00,000
3	My Money Technologies Private Limited	Promoter Group	9,00,000
	<b>TOTAL</b>		<b>33,00,000</b>

**RESOLVED FURTHER THAT** the “**Relevant Date**”, as per the SEBI ICDR Regulations, as amended up to date, for the determination of issue price of Equity Shares is Friday, August 27, 2021, being the date 30 days prior to the date of Annual General Meeting to be held on Sunday, September 26, 2021.

**RESOLVED FURTHER THAT** aforesaid issue of Warrants shall be subject to the following terms and conditions:

- a) Each Warrant held by the Proposed Allottee(s) shall entitle such allottee(s) to apply for and obtain allotment of one Equity Share at any time after the date of allotment but on or before the expiry of 18 (Eighteen) months from the date of allotment (the "Warrant Exercise Period").
- b) The Proposed Allottee(s) shall, on or before the date of allotment of Warrants, pay an amount equivalent to at least 25% of the Warrant Issue Price fixed per Warrant in terms of the SEBI (ICDR) Regulations, 2018 which will be kept by the Company to be adjusted and appropriated against the Warrant Issue Price of the Equity Shares. The balance 75% of the Warrant Issue Price shall be payable by the Warrant Holder at the time of exercising the Warrants.
- c) Warrants, being allotted to the Proposed Allottee(s) and the Equity Shares proposed to be allotted pursuant to the conversion of these Warrants shall be under lock-in for such period as may be prescribed under SEBI (ICDR) Regulations.
- d) Warrants so allotted under this resolution shall not be sold, transferred, hypothecated or encumbered in any manner during the period of lock-in provided under SEBI (ICDR) Regulations except to the extent and in the manner permitted there under.
- e) Warrants shall be issued and allotted by the Company only in dematerialized form.
- f) The consideration for allotment of Warrants and/or Equity Shares arising out of exercise of such Warrants shall be paid to the Company from the bank account of the Proposed Allottee(s).
- g) In the event the Warrant Holder(s) do not exercise Warrants within the Warrant Exercise Period, the Warrants shall lapse and the 25% amount paid upfront shall stand forfeited by the Company.
- h) The Warrants by itself until converted into Equity Shares, do not give to the Warrant Holder any voting rights in the Company in respect of such Warrants."

**RESOLVED FURTHER THAT** the Equity Shares proposed to be so allotted upon conversion of Warrants shall rank *pari-passu* in all respects including as to dividend, with the existing fully paid-up Equity Shares of face value of Rs.10/- (Rupees Ten Only) each of the Company, subject to the relevant provisions contained in the Memorandum of Association and Articles of Association of the Company.

**RESOLVED FURTHER THAT** for the purpose of giving effect to this resolution the Directors and Company Secretary of the Company be and are hereby authorized severally to do all such acts, deeds, matters and things as it may in its absolute discretion consider necessary, desirable or expedient including issue and allot such number of Equity Shares of the Company as may be required to be issued and allotted upon exercise of the option in the Warrants held by the holder(s) of the Warrants, application to Stock Exchanges for obtaining of in-principle approval, listing of shares, filing of requisite documents with the Registrar of Companies, National Securities Depository Limited (NSDL), Central Depository Services (India) Limited (CDSL) and/ or such other authorities as may be necessary for the purpose, to resolve and settle any questions and difficulties that may arise in the proposed issue, offer and allotment of the said Warrants, utilization of issue proceeds, signing of all deeds and documents as may be required."

**By order of the Board  
For My Money Securities Ltd.**

**Sd/-  
(VIKAS SETH)  
Managing Director  
DIN: 00383194**

**ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Place : Delhi  
Date : 27/08/2021**

**ENCLS:-**Copy of Audited Financial Statements for the Year ended 31<sup>st</sup> day of March, 2021 attached along with all enclosures.

### Notes:

**The statement pursuant to Section 102(1) of the Companies Act, 2013 setting out the material facts in respect of the business under Item No. 4 and 5 set out above and the details under the Listing Agreement with Stock Exchanges in respect of Directors proposed to be appointed/re-appointed at the Annual General Meeting, is annexed hereto.**

1. In view of the massive outbreak of the COVID-19 pandemic, social distancing is a norm to be followed and pursuant to the Circular No. 14/2020 dated April 08, 2020, Circular No.17/2020 dated April 13, 2020 issued by the Ministry of Corporate Affairs followed by Circular No. 20/2020 dated May 05, 2020 and Circular No. 02/2021 dated January 13, 2021 and all other relevant circulars issued from time to time, physical attendance of the Members to the EGM/AGM venue is not required and general meeting be held through video conferencing (VC) or other audio visual means (OAVM). Hence, Members can attend and participate in the ensuing EGM/AGM through VC/OAVM.
2. Pursuant to the Circular No. 14/2020 dated April 08, 2020, issued by the Ministry of Corporate Affairs, the facility to appoint proxy to attend and cast vote for the members is not available for this EGM/AGM. However, the Body Corporates are entitled to appoint authorized representatives to attend the EGM/AGM through VC/OAVM and participate there at and cast their votes through e-voting.
3. The Members can join the AGM in the VC/OAVM mode 15 minutes before and after the scheduled time of the commencement of the Meeting by following the procedure mentioned in the Notice. The facility of participation at the AGM through VC/OAVM will be made available for 1000 members on first come first served basis. This will not include large Shareholders (Shareholders holding 2% or more shareholding), Promoters, Institutional Investors, Directors, Key Managerial Personnel, the Chairpersons of the Audit Committee, Nomination and Remuneration Committee and Stakeholders Relationship Committee, Auditors etc. who are allowed to attend the AGM without restriction on account of first come first served basis.
4. The attendance of the Members attending the AGM through VC/OAVM will be counted for the purpose of reckoning the quorum under Section 103 of the Companies Act, 2013.
5. Pursuant to the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended) and Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 (as amended), and the Circulars issued by the Ministry of Corporate Affairs dated April 08, 2020, April 13, 2020 and May 05, 2020 the Company is providing facility of remote e-Voting to its Members in respect of the business to be transacted at the AGM. For this purpose, the Company has entered into an agreement with National Securities Depository Limited (NSDL) for facilitating voting through electronic means, as the authorized agency. The facility of casting votes by a member using remote e-Voting system as well as venue voting on the date of the AGM will be provided by NSDL.
6. In line with the Ministry of Corporate Affairs (MCA) Circular No. 17/2020 dated April 13, 2020, the Notice calling the AGM has been uploaded on the website of the Company at [www.mymoneyviews.com](http://www.mymoneyviews.com). The Notice can also be accessed from the websites of the Stock Exchange i.e. BSE Limited at [www.bseindia.com](http://www.bseindia.com) and the AGM Notice is also available on the website of NSDL (agency for providing the Remote e-Voting facility) i.e. [www.evoting.nsdl.com](http://www.evoting.nsdl.com).
7. AGM has been convened through VC/OAVM in compliance with applicable provisions of the Companies Act, 2013 read with MCA Circular No. 14/2020 dated April 08, 2020 and MCA Circular No. 17/2020 dated April 13, 2020, MCA Circular No. 20/2020 dated May 05, 2020 and MCA Circular No. 2/2021 dated January 13, 2021.

### **THE INSTRUCTIONS FOR MEMBERS FOR REMOTE E-VOTING AND JOINING GENERAL MEETING ARE AS UNDER:-**

**The remote e-voting period begins on September 23, 2021 at 09:00 A.M. and ends on September 25, 2021 at 05:00 P.M. The remote e-voting module shall be disabled by NSDL for voting thereafter. The Members, whose names appear in the Register of Members / Beneficial Owners as on the record date (cut-off date) i.e. September 19, 2021, may cast their vote electronically. The voting right of shareholders shall be in proportion to their share in the paid-up equity share capital of the Company as on the cut-off date, being September 19, 2021.**

### **Procedure to vote electronically using NSDL e-Voting system**

*The way to vote electronically on NSDL e-Voting system consists of "Two Steps" which are mentioned below:*

#### **Step 1: Access to NSDL e-Voting system**

- A) Login method for e-Voting and joining virtual meeting for Individual shareholders holding securities in DEMAT mode:

In terms of SEBI circular dated December 9, 2020 on e-Voting facility provided by Listed Companies, Individual shareholders holding securities in DEMAT mode are allowed to vote through their DEMAT account maintained with Depositories and Depository Participants. Shareholders are advised to update their mobile number and email Id in their DEMAT accounts in order to access e-Voting facility.

Login method for Individual shareholders holding securities in DEMAT mode is given below:

Type of shareholders	Login Method
Individual Shareholders holding securities in DEMAT mode with NSDL.	<ol style="list-style-type: none"> <li>1. If you are already registered for <b>NSDL IDeAS facility</b>, please visit the e-Services website of NSDL. Open web browser by typing the following URL: <a href="https://eservices.nsd.com/">https://eservices.nsd.com/</a> either on a Personal Computer or on a mobile. Once the home page of e-Services is launched, click on the "<b>Beneficial Owner</b>" icon under "Login" which is available under "<b>IDeAS</b>" section. A new screen will open. You will have to enter your User ID and Password. After successful authentication, you will be able to see e-Voting services. Click on "Access to e-Voting" under e-Voting services and you will be able to see e-Voting page. Click on options available against company name or <b>e-Voting service provider - NSDL</b> and you will be re-directed to NSDL e-Voting website for casting your vote during the remote e-Voting period or joining virtual meeting &amp; voting during the meeting.</li> <li>2. If the user is not registered for IDeAS e-Services, option to register is available at <a href="https://eservices.nsd.com">https://eservices.nsd.com</a>. Select "<b>Register Online for IDeAS</b>" Portal or click at <a href="https://eservices.nsd.com/SecureWeb/IdeasDirectReg.jsp">https://eservices.nsd.com/SecureWeb/IdeasDirectReg.jsp</a></li> <li>3. Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <a href="https://www.evoting.nsd.com/">https://www.evoting.nsd.com/</a> either on a Personal Computer or on a mobile. Once the home page of e-Voting system is launched, click on the icon "Login" which is available under 'Shareholder/Member' section. A new screen will open. You will have to enter your User ID (i.e. your sixteen digits demat account number held with NSDL), Password/OTP and a Verification Code as shown on the screen. After successful authentication, you will be redirected to NSDL Depository site wherein you can see e-Voting page. Click on options available against company name or <b>e-Voting service provider - NSDL</b> and you will be redirected to e-Voting website of NSDL for casting your vote during the remote e-Voting period or joining virtual meeting &amp; voting during the meeting.</li> </ol>
Individual Shareholders holding securities in DEMAT mode with CDSL	<ol style="list-style-type: none"> <li>1. Existing users who have opted for Easi / Easiest, they can login through their user id and password. Option will be made available to reach e-Voting page without any further authentication. The URL for users to login to Easi / Easiest are <a href="https://web.cdslindia.com/myeasi/home/login">https://web.cdslindia.com/myeasi/home/login</a> or <a href="http://www.cdslindia.com">www.cdslindia.com</a> and click on New System Myeasi.</li> <li>2. After successful login of Easi/Easiest the user will be also able to see the E Voting Menu. The Menu will have links of <b>e-Voting service provider i.e. NSDL</b>. Click on <b>NSDL</b> to cast your vote.</li> <li>3. If the user is not registered for Easi/Easiest, option to register is available at <a href="https://web.cdslindia.com/myeasi/Registration/EasiRegistration">https://web.cdslindia.com/myeasi/Registration/EasiRegistration</a></li> <li>4. Alternatively, the user can directly access e-Voting page by providing DEMAT Account Number and PAN No. from a link in <a href="http://www.cdslindia.com">www.cdslindia.com</a> home page. The system will authenticate the user by sending OTP on registered Mobile &amp; Email as recorded in the DEMAT Account. After successful authentication, user will be provided links for the respective ESP i.e. <b>NSDL</b> where the e-Voting is in progress.</li> </ol>
Individual Shareholders (holding securities in DEMAT mode) login through their depository participants	You can also login using the login credentials of your demat account through your Depository Participant registered with NSDL/CDSL for e-Voting facility. Once login, you will be able to see e-Voting option. Once you click on e-Voting option, you will be redirected to NSDL/CDSL Depository site after successful authentication, wherein you can see e-Voting feature. Click on options available against company name or <b>e-Voting service provider-NSDL</b> and you will be redirected to e-Voting website of NSDL for casting your vote during the remote e-Voting period or joining virtual meeting & voting during the meeting.

**Important note:** Members who are unable to retrieve User ID/ Password are advised to use Forget User ID and Forget Password option available at abovementioned website.



Helpdesk for Individual Shareholders holding securities in demat mode for any technical issues related to login through Depository i.e. NSDL and CDSL.

Login type	Helpdesk details
Individual Shareholders holding securities in demat mode with NSDL	Members facing any technical issue in login can contact NSDL helpdesk by sending a request at <a href="mailto:evoting@nsdl.co.in">evoting@nsdl.co.in</a> or call at toll free no.: 1800 1020 990 and 1800 22 44 30
Individual Shareholders holding securities in demat mode with CDSL	Members facing any technical issue in login can contact CDSL helpdesk by sending a request at <a href="mailto:helpdesk.evoting@cdslindia.com">helpdesk.evoting@cdslindia.com</a> or contact at 022-23058738 or 022-23058542-43

**B) Login Method for shareholders other than Individual shareholders holding securities in DEMAT mode and shareholders holding securities in physical mode.**

**How to Log-in to NSDL e-Voting website?**

1. Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <https://www.evoting.nsdl.com/> either on a Personal Computer or on a mobile.
2. Once the home page of e-Voting system is launched, click on the icon "Login" which is available under 'Shareholder/Member' section.
3. A new screen will open. You will have to enter your User ID, your Password/OTP and a Verification Code as shown on the screen.

*Alternatively, if you are registered for NSDL eservices i.e. IDEAS, you can log-in at <https://eservices.nsdl.com/> with your existing IDEAS login. Once you log-in to NSDL eservices after using your log-in credentials, click on e-Voting and you can proceed to Step 2 i.e. Cast your vote electronically.*

4. Your User ID details are given below :

Manner of holding shares i.e. Demat (NSDL or CDSL) or Physical	Your User ID is:
a) For Members who hold shares in demat account with NSDL.	8 Character DP ID followed by 8 Digit Client ID For example if your DP ID is IN300*** and Client ID is 12***** then your user ID is IN300***12*****.
b) For Members who hold shares in demat account with CDSL.	16 Digit Beneficiary ID For example if your Beneficiary ID is 12***** then your user ID is 12*****.
c) For Members holding shares in Physical Form.	EVEN Number followed by Folio Number registered with the company For example if folio number is 001*** and EVEN is 116891 then user ID is 116891001***

5. Password details for shareholders other than Individual shareholders are given below:
  - a) If you are already registered for e-Voting, then you can use your existing password to login and cast your vote.
  - b) If you are using NSDL e-Voting system for the first time, you will need to retrieve the 'initial password' which was communicated to you. Once you retrieve your 'initial password', you need to enter the 'initial password' and the system will force you to change your password.
  - c) How to retrieve your 'initial password'?
    - (i) If your email ID is registered in your demat account or with the company, your 'initial password' is communicated to you on your email ID. Trace the email sent to you from NSDL from your mailbox. Open the email and open the attachment i.e. a .pdf file. Open the .pdf file. The password to open the .pdf file is your 8 digit client ID for NSDL account, last 8 digits of client ID for CDSL account or folio number for shares held in physical form. The .pdf file contains your 'User ID' and your 'initial password'.
    - (ii) If your email ID is not registered, please follow steps mentioned below in **process for those shareholders whose email ids are not registered**

6. If you are unable to retrieve or have not received the "Initial password" or have forgotten your password:
  - a) Click on "[Forgot User Details/Password?](#)" (If you are holding shares in your demat account with NSDL or CDSL) option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com).
  - b) [Physical User Reset Password?](#)" (If you are holding shares in physical mode) option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com).
  - c) If you are still unable to get the password by aforesaid two options, you can send a request at [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in) mentioning your demat account number/folio number, your PAN, your name and your registered address etc.
  - d) Members can also use the OTP (One Time Password) based login for casting the votes on the e-Voting system of NSDL.
7. After entering your password, tick on Agree to "Terms and Conditions" by selecting on the check box.
8. Now, you will have to click on "Login" button.
9. After you click on the "Login" button, Home page of e-Voting will open.

### **Step 2: Cast your vote electronically and join General Meeting on NSDL e-Voting system.**

#### **How to cast your vote electronically and join General Meeting on NSDL e-Voting system?**

1. After successful login at Step 1, you will be able to see all the companies "EVEN" in which you are holding shares and whose voting cycle and General Meeting is in active status.
2. Select "EVEN" of company for which you wish to cast your vote during the remote e-Voting period and casting your vote during the General Meeting. For joining virtual meeting, you need to click on "VC/OAVM" link placed under "Join General Meeting".
3. Now you are ready for e-Voting as the Voting page opens.
4. Cast your vote by selecting appropriate options i.e. assent or dissent, verify/modify the number of shares for which you wish to cast your vote and click on "Submit" and also "Confirm" when prompted.
5. Upon confirmation, the message "Vote cast successfully" will be displayed.
6. You can also take the printout of the votes cast by you by clicking on the print option on the confirmation page.
7. Once you confirm your vote on the resolution, you will not be allowed to modify your vote.

#### **General Guidelines for shareholders**

1. Institutional shareholders (i.e. other than individuals, HUF, NRI etc.) are required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/ Authority letter etc. with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer by e-mail to [cs.sarikajain@yahoo.in](mailto:cs.sarikajain@yahoo.in) with a copy marked to [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in).
2. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential. Login to the e-voting website will be disabled upon five unsuccessful attempts to key in the correct password. In such an event, you will need to go through the "[Forgot User Details/Password?](#)" or "[Physical User Reset Password?](#)" option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com) to reset the password.
3. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and e-voting user manual for Shareholders available at the download section of [www.evoting.nsdl.com](http://www.evoting.nsdl.com) or call on toll free no.: 1800 1020 990 and 1800 22 44 30 or send a request to NSDL at [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in)

#### **Process for those shareholders whose email ids are not registered with the depositories for procuring user id and password and registration of e mail ids for e-voting for the resolutions set out in this notice:**

1. In case shares are held in physical mode please provide Folio No., Name of shareholder, scanned copy of the share certificate (front and back), PAN (self-attested scanned copy of PAN card), AADHAR (self-attested scanned copy of Aadhar Card) by email to ([mymoneyviews@outlook.com](mailto:mymoneyviews@outlook.com)).
2. In case shares are held in demat mode, please provide DPID-CLID (16 digit DPID + CLID or 16 digit beneficiary ID), Name, client master or copy of Consolidated Account statement, PAN (self-attested scanned copy of PAN card), AADHAR (self-attested scanned copy of Aadhar Card) to ([mymoneyviews@outlook.com](mailto:mymoneyviews@outlook.com)). If you are an Individual shareholders holding securities in demat mode, you are requested to refer to the login method explained at **step 1 (A)** i.e. [Login method for e-Voting and joining virtual meeting for Individual shareholders holding securities in demat mode.](#)

3. Alternatively shareholder/members may send a request to [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in) for procuring user id and password for e-voting by providing above mentioned documents.
4. In terms of SEBI circular dated December 9, 2020 on e-Voting facility provided by Listed Companies, Individual shareholders holding securities in demat mode are allowed to vote through their demat account maintained with Depositories and Depository Participants. Shareholders are required to update their mobile number and email ID correctly in their demat account in order to access e-Voting facility.

**THE INSTRUCTIONS FOR MEMBERS FOR e-VOTING ON THE DAY OF THE EGM/AGM ARE AS UNDER:-**

1. The procedure for e-Voting on the day of the AGM is same as the instructions mentioned above for remote e-voting.
2. Only those Members/ shareholders, who will be present in the AGM through VC/OAVM facility and have not casted their vote on the Resolutions through remote e-Voting and are otherwise not barred from doing so, shall be eligible to vote through e-Voting system in the AGM.
3. Members who have voted through Remote e-Voting will be eligible to attend the AGM. However, they will not be eligible to vote at the AGM.
4. The details of the person who may be contacted for any grievances connected with the facility for e-Voting on the day of the AGM shall be the same person mentioned for Remote e-voting.

**INSTRUCTIONS FOR MEMBERS FOR ATTENDING THE AGM THROUGH VC/OAVM ARE AS UNDER:**

1. Member will be provided with a facility to attend the AGM through VC/OAVM through the NSDL e-Voting system. Members may access by following the steps mentioned above for **Access to NSDL e-Voting system**. After successful login, you can see link of "VC/OAVM link" placed under "**Join General meeting**" menu against company name. You are requested to click on VC/OAVM link placed under Join General Meeting menu. The link for VC/OAVM will be available in Shareholder/ Member login where the EVEN of Company will be displayed. Please note that the members who do not have the User ID and Password for e-Voting or have forgotten the User ID and Password may retrieve the same by following the remote e-Voting instructions mentioned in the notice to avoid last minute rush.
2. Members are encouraged to join the Meeting through Laptops for better experience.
3. Further Members will be required to allow Camera and use Internet with a good speed to avoid any disturbance during the meeting.
4. Please note that Participants Connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to Fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
5. Shareholders, who would like to express their views/have questions may send their questions in advance mentioning their name demat account number/folio number, email id, mobile number at [mymoneyviews@outlook.com](mailto:mymoneyviews@outlook.com). The same will be replied by the company suitably.

**By order of the Board  
For My Money Securities Ltd.**

**Sd/-  
(VIKAS SETH)  
Managing Director  
DIN: 00383194  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Place : Delhi  
Date : 27/08/2021**

### ANNEXURE TO THE NOTICE

#### **EXPLANATORY STATEMENT:**

As required under Section 102 of the Companies Act, 2013, the following statement sets out all material facts concerning the item of special business mentioned under Item No. 4, 5 & 6 of the accompanying Notice:

#### **ITEM No. 4**

As per the provisions of 196, 203 and any other applicable provisions of the Companies Act, 2013 and the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 or any other relevant rules (including any statutory modification(s) or re-enactment thereof for the time being in force), every listed company is required to appoint a Managing Director/WTD/Manager/CEO, CFO and CS as Key Managerial Personnel. In view of the provisions of the Act, the Nomination & Remuneration Committee and the Board, recommends to re-appoint Mr. Sanjai Seth (DIN 00350518) as a Whole-Time Director of the company for the period of five years w.e.f 01.11.2021 to 30.10.2026.

As per the section 196(4) of the Companies Act, 2013, the re-appointment of Mr. Sanjai Seth (DIN (00350518) as a Whole-Time Director of the company is required to be approved by the members of the company. notice in writing from Mr. Sanjai Seth (DIN 00350518), has been received by the Company under Section 160 of the Companies Act, 2013 signifying his intention to propose his candidature for appointment as Whole-Time Director of the Company. Mr. Sanjai Seth (DIN 00350518), candidate for appointment as Whole-Time Director, being eligible, offers himself for appointment.

#### **Statement containing required information as per Category (iv) of Part II of Section II of Schedule V of the Companies Act, 2013 for Item No. 4**

##### **1. GENERAL INFORMATION:**

- (i) **Nature of Industry** – STOCK BROKING AND OTHER FINANCIAL SERVICES
- (ii) **Date of Incorporation**- 05<sup>th</sup> March, 1992
- (iii) **Date of commencement of Business** – N.A.
- (iv) **In case of new companies, expected date of commencement of activities as per project approved by financial institutions appearing in the prospectus**- Not applicable.
- (v) **Financial performance based on given indicators** (Rs. in Lakhs)

Particulars	FY 2020-21	FY 2019-20
Revenue from Operations	39.58	(74.85)
Other Income	29.71	47.33
Profit before tax	0.211	(138.11)
Profit after tax	04.92	(147.16)
Net worth	827.09	822.15
EPS (Rs.)	0.0493	(1.4716)

- (iv) **Foreign investments, if any-**  
No Foreign Direct Investment is made in the company.

##### **2. INFORMATION ABOUT APPOINTEE:**

###### **a. Background Details:**

Mr. Sanjai Seth aged 55 years. He is a Master of Finance and Control from Delhi University and has more than 30 years experience in equity and capital market. He is CEO (Chief Executive Officer) of the Company and is responsible for enhancing relationship with key corporate, financial institutions and high net worth individuals.

###### **b. Past Remuneration:**

Mr. Sanjai Seth was paid Rs.10,68,000/- p.a. remuneration for the financial year 2020-21.

###### **c. Recognition or awards: Not Applicable**

###### **d. Job Profile and suitability :-**

Mr. Sanjai Seth has been serving the same industry since 1993 and under his leadership the Company will benefit

immensely. The Board of Directors is confident that, with his extensive business knowledge, experience and expertise in the Broking Business would definitely continue to enhance the Board's strength and dynamism.

Mr. Sanjai Seth is engaged in building long term relationships with his clients to help them achieve their commercial, financial and strategic objectives. He has been active in media and various investor clubs enhancing value to the programmes undertaken.

**e. Remuneration proposed:-**

<b>Name</b>	<b>Mr. SANJAI SETH</b>
<b>Designation</b>	<b>Whole-Time Director</b>
Tenure	Five Years (W.E.F 01 <sup>st</sup> November, 2021 to 31 <sup>st</sup> October, 2026)
Salary	Not Exceeding Rs. 2, 00,000/- p.m. The Board on the recommendation of nomination & remuneration committee may increase the salary as per company rules and provisions of Schedule V to the Companies Act, 2013.

During 2020-21, the Company reported Net Profit of Rs. 492,872/- (Rupees Four Lac Ninety Two Thousands Eight Hundred and Seventy Two Only).

**In terms of Section I & II of Part II of Schedule V of the Companies Act, 2013, a company having effective Capital of Rs. 5 Crores or more but less than Rs. 100 Crores, can pay yearly remuneration of Rs. 84 Lacs p.a., without the Central Government approval.** The Nomination and Remuneration Committee and Board proposed the remuneration not exceeding of Rs. 24 Lacs p.a to be paid to Whole-Time Director, which is within the limit as prescribed in Section II of Part II of Schedule V of the Companies Act, 2013.

**f. Comparative remuneration Profile with respect to Industry, size of the Company, profile of the position and person -**

The remuneration is much below the prevailing remuneration in the industry of similar size for similarly placed persons and according to job responsibility.

**g. Pecuniary relationship directly or indirectly with the Company, or relationship with the managerial personal, if any:-**

Mr. Sanjai Seth has no pecuniary relationship directly or indirectly with the Company or its managerial personnel other than his remuneration in the capacity of Director. As on date he holds 6, 53,600 shares of the Company.

List of companies in which candidate is Director or having Interest apart from My Money Securities Ltd:

<b>S. No.</b>	<b>Name of Companies in which Candidate is Director</b>	<b>Nature of Interest</b>
1	Camco Commodities Private Ltd	Director
2	My Money Insurance Brokers Private Ltd.	Director
3	My Money Technologies Private Ltd.	Director
4	My Money Credits Private Ltd.	Director
5	My Money Realtors Private Ltd.	Director

**3. OTHER INFORMATION:**

**(a) Steps taken or proposed to be taken for improvement:**

The Company is taking efforts on implementing various strategies to help to increase the turnover thereby increasing profits of the company.

**4. DISCLOSURES:**

**The shareholders of the company shall be informed of the remuneration package of the managerial personnel:**

The remuneration paid to Mr. Sanjai Seth was stated above. Mr, Sanjai Seth, satisfy all the conditions set out in Part-I & Part II of Schedule V to the Act. Further, he satisfies the conditions set out under Section 196(3) of the Act for being eligible for his appointment.

Your Directors recommend passing of the Resolution at item no. 4 as Special Resolution.

None of the Directors and Key Managerial Personnel is interested in the said resolution except Mr. Sanjai Seth.

**DETAILS OF DIRECTORS SEEKING APPOINTMENT/ REAPPOINTMENT AT THE FORTHCOMING ANNUAL GENERAL MEETING IN PURSUANCE TO CLAUSE 36(3) OF CHAPTER IV- RELATING TO DOCUMENTS & INFORMATION TO SHAREHOLDERS OF THE SEBI(LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

The details pertaining to appointment or re-appointment of the Directors as required to be provided pursuant to Regulation 36(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 are as follows:

Name of the Director	Mr. SANJAI SETH	Mrs. RAJNI SETH
Director Identification Number (DIN)	00350518	00350604
Date of Birth	25/07/1965	22/03/1939
Date of Appointment	11/01/1993	14/08/1994
Profile of Director	Mr. Sanjai Seth has been serving the same industry since 1993 and under his leadership the Company will benefit immensely. The Board of Directors is confident that, with his extensive business knowledge, experience and expertise in the Broking Business would definitely continue to enhance the Board's strength and dynamism. Mr. Sanjai Seth is engaged in building long term relationships with his clients to help them achieve their commercial, financial and strategic objectives. He has more than 30 years' experience in equity and capital market. He is C.E.O. (Chief Executive Officer) of the company and is responsible for enhancing relationship with key corporate, financial institutions and high net worth individuals.	She has over 26 year's experiences in administration work. She has done the schooling from St. Xavier's, Mumbai and B.A. IInd year from Mumbai University
Expertise in specific functional area	Stock Broking Relationship Manager	Twenty Six Years' experience in personnel and Human Resources (HR) Management.
Qualification	M.F.C (Master of Finance and Control)	B.A. IInd year from Mumbai University.
Remuneration last drawn (including sitting fees, if any)	Rs. 10,68,000	Nil
List of outside Directorship held as on 31 <sup>st</sup> March, 2021 (Excluding Private Limited Companies and Foreign Companies)	Nil	Nil
Chairman of the Company as on 31 <sup>st</sup> March, 2021/Member of the Committee of Board of Directors	Member of <b>Three</b> committees of Board of Directors	Member of <b>Two</b> committees of Board of Directors
No of Shares held in the Company as on 31 <sup>st</sup> March, 2021	6,53,600	7,90,300
Relationship between directors Interest.	Mrs. Rajni Seth- Mother Mr. Vikas Seth- Brother	Mr. Sanjai Seth & Mr. Vikas Seth- Sons
Number of meetings of the Board attended during the year	5	5

### Item No. 5 & 6

The Special Resolutions contained in Item No. 5 & 6 of the Notice, have been proposed pursuant to the provisions of Sections 23, 42 and 62 of the Companies Act, 2013, to issue and allot up to 35,00,000 Equity shares of face value of Rs. 10/- each and up to 33,00,000 Fully Convertible Warrants, at an issue price of Rs.12/- each, aggregating up to Rs. 4,20,00,000/- and up to Rs. 3,96,00,000/- respectively.

The proposed Preferential Issue is to be issued to the persons belonging Promoter Category and Non-Promoter Category, in terms of Chapter V of the SEBI (ICDR) Regulations, 2018 and applicable provisions of Companies Act, 2013. The said proposal has been considered and approved by the Board in their meeting held on Saturday, August 21, 2021.

The approval of the members is accordingly being sought by way of a 'Special Resolution' under Sections 42, and 62(1)(c) of the Companies Act, 2013, read with the rules made thereunder, and Regulation 160 of the SEBI (ICDR), Regulations.

The details of the issue and other particulars as required in terms of Rule 14(1) of the Companies (Prospectus and Allotment of Securities) Rules, 2014 and Regulation 163 of the SEBI (ICDR), Regulations are set forth below:

#### I. **Objects of the Issue:**

The proceeds of the preferential issue shall be utilized to meet funding requirements of the Company for opening of new branch/ branches, marketing through Online and Offline modes, further investments and working capital requirements and other general corporate purposes.

#### II. **Particulars of the offer including the maximum number of specified securities to be issued:**

Preferential Issue of up to 35,00,000 Equity shares, to Promoter and Non Promoter Category allottees, of face value of Rs. 10/- each and up to 33,00,000 Fully Convertible Warrants, to Promoter Category allottees, at an issue price of Rs.12/- each, aggregating up to Rs. 4,20,00,000 and up to Rs.3,96,00,000/-, respectively.

#### III. **The intent of the promoters, directors or key management personnel of the issuer to subscribe to the offer:**

Except as following none of the promoters, directors or key management personnel of the issuer intent to subscribe to the offer:

S. No.	Name	Category	Number of Equity Shares	Number of Warrants
1	Mr. Sanjai Seth	Promoter	9,00,000	15,00,000
2	Mr. Vikas Seth	Promoter	4,00,000	-
3	Camco Commodities Private Limited	Promoter Group	4,00,000	9,00,000
4	My Money Technologies Private Limited	Promoter Group	4,00,000	9,00,000

Except aforesaid, none of the promoters, Directors or Key Managerial Personnel of the Company intends to subscribe to any of the securities proposed to be issued under the Preferential Issue.

#### IV. **The Shareholding Pattern of the issuer before and after the preferential issue:**

The shareholding pattern of the Company before and after the proposed preferential issue is likely to be as follows:

Category	Pre issue Shareholding Structure		Equity Shares to be allotted	Post Equity Allotment (2)		Warrants to be allotted	Post Issue Shareholding (Presuming full conversion of Warrants) (2)	
	No. of Shares	% age		No. of Shares	%		No. of Shares	% age
(A) Promoter Shareholding								
(1) Indian								
(a) Individuals & HUF	21,27,800	21.28	13,00,000	34,27,800	25.39	15,00,000	49,27,800	29.33
(b) Bodies Corporate	31,94,100	31.94	8,00,000	39,94,100	29.59	18,00,000	57,94,100	34.49
Sub Total (A)(1)	53,21,900	53.22	21,00,000	74,21,900	54.98	33,00,000	1,07,21,900	63.82
(2) Foreign promoters	-	-	-	-	-	-	-	-
<b>Total Promoter shareholding A=A1 +A2</b>	<b>53,21,900</b>	<b>53.22</b>	<b>21,00,000</b>	<b>74,21,900</b>	<b>54.98</b>	<b>33,00,000</b>	<b>1,07,21,900</b>	<b>63.82</b>

Category	Pre issue Shareholding Structure		Equity Shares to be allotted	Post Equity Allotment (2)		Warrants to be allotted	Post Issue Shareholding (Presuming full conversion of Warrants) (2)	
	No. of Shares	% age		No. of Shares	%		No. of Shares	% age
(B) Public Shareholding								
B1) Institutional Investors	-	-	-	-	-	-	-	-
B2) Central Govt./Stat Govt./POI	-	-	-	-	-	-	-	-
B3) Non-Institutional Investors								
Individuals	19,70,892	19.71	-	19,70,892	14.60	-	19,70,892	11.73
Body Corporate	27,07,508	27.07	14,00,000	41,07,508	30.43	-	41,07,508	24.45
Others (Including HUF, LLP&NRI)	-	-	-	-	-	-	-	-
<b>Total Public Shareholding B=B1+B2+B3</b>	<b>46,78,400</b>	<b>46.78</b>	<b>14,00,000</b>	<b>60,78,400</b>	<b>45.02</b>	<b>-</b>	<b>60,78,400</b>	<b>36.18</b>
C) Non Promoter - Non Public	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	<b>1,00,00,300</b>	<b>100.00</b>	<b>35,00,000</b>	<b>1,35,00,300</b>	<b>100.00</b>	<b>33,00,000</b>	<b>1,68,00,300</b>	<b>100.00</b>

Note:

1. The pre-issue shareholding pattern is as on August 20, 2021.
2. Post shareholding structure is assuming full conversion and may change depending upon any other corporate action in between.

**V. Proposed time limit within which the allotment shall be complete:**

In terms of SEBI (ICDR) Regulations, preferential allotment of said Equity Shares and Warrants will be completed within a period of 15 (fifteen) days from the date of passing of special resolutions at Item No. 5 & 6. Provided that where the allotment is pending on account of pendency of any application for approval or permission by any regulatory authority, if applicable, the allotment would be completed within 15 (fifteen) days from the date of such approval or within such further period as may be prescribed or allowed by SEBI, stock exchange(s) or other concerned authorities.

**VI. The identity of the natural persons who are the ultimate beneficial owners of the securities proposed to be allotted and/or who ultimately control the proposed allottee(s) and the percentage of post preferential issue capital that may be held by them:**

Identity of the Proposed Allottee(s) and the percentage of post preferential issue capital that may be held by them:

S. No.	Name	Pre issue Shareholding Structure		No. of Equity Shares to be Allotted	Post Issue Shareholding#		No. Of Warrants To be Allotted	Post Issue Shareholding (Presuming full conversion of Warrants) #		Name of ultimate beneficial owners
		No. of Shares	% age		No. of Shares	%		No. of Shares	% age	
1	Mr. Sanjai Seth	6,53,600	6.54	9,00,000	15,53,600	11.51	15,00,000	30,53,600	18.18	Refer Note
2	Mr. Vikas Seth	5,46,850	5.47	4,00,000	9,46,850	7.01	NIL	9,46,850	5.64	Refer Note
3	Camco Commodities Private Limited	11,94,100	11.94	4,00,000	15,94,100	11.81	9,00,000	24,94,100	14.85	Mr. Sanjai Seth
4	My Money Technologies Private Limited	20,00,000	20.00	4,00,000	24,00,000	17.78	9,00,000	33,00,000	19.64	Mr. Sanjai Seth
5	Mehak Infracon (P) Limited	4,67,300	4.67	8,00,000	12,67,300	9.39	NIL	12,67,300	7.54	Anant Raj Limited @



S. No.	Name	Pre issue Shareholding Structure		No. of Equity Shares to be Allotted	Post Issue Shareholding#		No. Of Warrants To be Allotted	Post Issue Shareholding (Presuming full conversion of Warrants) #		Name of ultimate beneficial owners
		No. of Shares	% age		No. of Shares	%		No. of Shares	% age	
6	Yukti Securities (P) Limited	1,90,000	1.90	5,00,000	6,90,000	5.11	NIL	6,90,000	4.11	Mr. Rohitasava Chand
7	IT&T Entertainment Services (P) Limited	5,00,000	5.00	1,00,000	6,00,000	4.44	NIL	6,00,000	3.57	Mr. Jugendra Singh

*Note: Proposed Allottee being a natural person the Ultimate Beneficial Owner disclosure is not applicable.*

*#Post Issue Shareholding will change in case of any corporate action in between.*

*@ As per SEBI Circular CIR/MIRSD/2/2013 dated January 24, 2013 where the owner of the controlling interest is a company listed on a stock exchange it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.*

### **VII. Consequential Changes in the Voting Rights and Change in Management:**

As a result of the proposed preferential issue of Equity Shares and Warrants and upon conversion of the warrants, there will be no change in the control or management of the Company. However, voting rights will change in tandem with the shareholding pattern.

### **VIII. Lock-in Period:**

- The Equity Shares and warrants and equity shares to be allotted upon conversion of warrants, shall be subject to 'lock-in' in accordance with Chapter V of the SEBI (ICDR) Regulations.
- The entire pre-preferential allotment shareholding, if any, of the Proposed Allottees, shall be locked-in as per Chapter V of the SEBI (ICDR) Regulations.

### **IX. Issue price and Relevant Date:**

The price of equity shares to be issued is fixed at Rs.12/- (Rupees Twelve Only) per equity share for preferential issue. The shares of the Company are infrequently traded on the BSE Limited, hence the price is determined in compliance with Regulation 165 of SEBI (ICDR) Regulations, 2018 for Preferential Issues taking into account valuation parameters including book value, comparable trading multiples and such other parameters as are customary for valuation of shares.

A certificate from Independent Valuer confirming the minimum price for preferential issue as per preferential issue guidelines of SEBI (ICDR) Regulations, 2018 along with the calculation thereof is obtained and the same is available for inspection at the Registered Office of the Company.

### **X. Undertakings:**

- None of the Company, its Directors or Promoters is categorized as wilful defaulter(s) by any bank or financial institution or consortium thereof, in accordance with the guidelines on willful defaulters issued by Reserve Bank of India. Consequently, the disclosure required under Regulation 163(1)(i) is not applicable.
- None of its Directors or Promoters is fugitive economic offenders as defined under the SEBI ICDR Regulations.
- As the equity shares have been listed on BSE Limited for a period of more than twenty-six weeks as on the Relevant Date, the provisions of Regulation 164(3) of SEBI ICDR Regulations governing re-computation of the price of shares shall not be applicable. Consequently, the undertaking required under Regulation 163(1)(g) and Regulation 163(1)(h) is not applicable.
- No member of the promoter group of the Company have sold or transferred any Equity Shares during the six months preceding the Relevant Date.

### **XI. Auditor's Certificate:**

The certificate from M/s Adiwise M.K. & Associates, Chartered Accountants (FRN: 007180N), Chartered Accountants, being the Statutory Auditors of the Company certifying that the preferential issue is being made in accordance with the requirements of Chapter V of the SEBI ICDR Regulations, 2018 shall be available for inspection at the registered office of the Company on all working days (excluding Saturday) during 10:00 A.M. to 5:00 P.M. up-to the date of Annual General Meeting and shall also be available during the Annual General Meeting. The members desirous to inspect the auditor certificate may send an email at [mymoneyviews@outlook.com](mailto:mymoneyviews@outlook.com), for inspection of said certificate electronically during the AGM, at-least 5 days before the date of AGM, in advance.

### **XII. Details of the Directors, Key Managerial Persons or their relatives, in any way, concerned or interested in the said resolution.**

Except as following none of the other Directors or key managerial personnel or their relatives are in any way concerned or interested, financially or otherwise, in the above referred resolution.

S. No.	Name	Category	Designation	Type of Security	Number of Equity Shares	Number of Warrants
1	Mr. Sanjai Seth	Promoter	Director	Equity	9,00,000	15,00,000
2	Mr. Vikas Seth	Promoter	Director	Equity	4,00,000	-

The Board of Directors recommends the resolutions as set out in Item Nos. 5 & 6 of this notice for the issue of Equity Shares and Warrants, on a preferential basis, to the persons belonging to the promoter group and non-promoter category by way of Special Resolution.

**By order of the Board  
For My Money Securities Ltd.**

**Sd/-  
(VIKAS SETH)  
Managing Director  
DIN: 00383194  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Place : Delhi  
Date : 27/08/2021**

**DIRECTOR'S REPORT**

To  
 The Members,

Your Directors have pleasure in presenting the 30<sup>th</sup> Annual Report of the Company with the Audited Financial Statements of Accounts and the Auditor's Report of the Company for the period ended 31<sup>st</sup> March, 2021. The Summarized Financial Results for the period ended 31<sup>st</sup> March, 2021 are as under.

**1. PERFORMANCE HIGHLIGHTS:**

The financial statements of the company are prepared in accordance with the Indian Accounting Standards (referred to as 'IND AS') prescribed under section 133 of the Companies Act, 2013, read with the Companies (Indian Accounting Standards) Rules, as amended from time to time. Significant accounting policies used in the preparation of the financial statements are disclosed in the notes to the consolidated financial statements.

The performance highlights of the company for FY 2020-21 are furnished as under:-

S. NO.	PARTICULARS	YEAR ENDED 31 <sup>ST</sup> MARCH, 2021	YEAR ENDED 31 <sup>ST</sup> MARCH, 2020
1	Revenue from Operations	3,958,356	(74,84,787)
	Other Income	2,971,423	47,33,631
	<b>Total Income</b>	<b>6,929,779</b>	<b>(27,51,156)</b>
2	<b>Total Expenditure</b>	<b>6,908,647</b>	<b>1,08,17,627</b>
3	<b>Profit (+) / Loss (-) before Depreciation and Tax</b>	<b>257,017</b>	<b>(1,35,68,783)</b>
4	Depreciation/ Amortization	(235,885)	(2,42,825)
5	<b>Profit (+)/ Loss (-) before Tax</b>	<b>21,132</b>	<b>(1,38,11,608)</b>
6	Current tax	125,000	NIL
7	Deferred tax Liability/(Assets)	(596,740)	9,04,820
8	Earlier year Tax Expenses	NIL	NIL
9	<b>Net Profit (+)/ Loss (-) after Tax</b>	<b>492,872</b>	<b>(1,47,16,428)</b>
12	<b>EPS (Basic) &amp; (Diluted)</b>	<b>.0493</b>	<b>(1.4716)</b>

**2. REVIEW OF OPERATIONS:**

Your Company being a member of National Stock Exchange of India Ltd (NSE) and Metropolitan Stock Exchange of India Ltd. (MSEI-Currency Segment) is in broking business and total Revenue for the Financial Year 2020-21 stood at Rs.6,929,779/-net profit is Rs.492,872/- as against the revenue of previous Financial Year which was Rs. (2,751,156)/-, net loss was Rs. 14,716,428/- .

The business margins are strained due to rise in input costs including salaries and other marketing expenses going up with inflation. Business is in stress due to severe economic condition and market volatility.

**3. COVID-19:**

The COVID-19 pandemic has emerged as a global challenge, creating disruption across the world. Your Directors have been periodically reviewing with the Management, the impact of COVID-19 on the Company. During the 1st quarter of the year, there was Lockdown in the country due to of Covid-19 pandemic but as we were part of essential service, we were working throughout the Lockdown. The focus immediately shifted to ensuring the health and well-being of all employees, and on minimizing disruption to services for all our customers globally. The Board and the Management will continue to closely monitor the situation as it evolves and do its best to take all necessary measures, in the interests of all stakeholders of the Company.

**4. DIVIDEND**

No Dividend is recommended for the period ending 31<sup>st</sup> March, 2021 in view of inadequate profits during the Financial Year and absence of any free reserve.

**5. NUMBER OF MEETINGS OF THE BOARD**

The Board of Directors duly met five times on 24<sup>th</sup> July, 2020, 14<sup>th</sup> August 2020, 15<sup>th</sup> September, 2020, 12<sup>th</sup> November,

2020 and 12<sup>th</sup> February, 2021, in respect of which notices were given and the proceedings were recorded and signed in the Minutes Books maintained for the purpose.

### 6. SUBSIDIARIES

The company is not having any subsidiary company or joint venture or associate. Therefore, there is not requirement to furnish any information as required under Section 129 of the Companies Act, 2013 read with relevant rules.

### 7. COMMITTEES OF THE BOARD

Currently, the Board is having four committees namely as Audit Committee, Stakeholders Relationship Committee, Nomination & Remuneration Committee and Risk Management Committee consists of independent directors.

The Composition of the committees as per the applicable provisions of the Act and Rules are as follows:

S. No.	Name of Committee	Name of Members of Committee
1	Audit Committee	Mr. SANDEEP GUPTA, (Independent Director) Mr. RANJAN SRINATH, (Independent Director) Mr. SANJAI SETH, (Whole Time Director) Mr. PAWAN CHAWLA, (CFO)
2	Risk Management Committee	Mr. Ranjan Srinath, (Independent Director) Mrs. Rajni Seth, (Director) Mr. Sanjai Seth, (Whole Time Director)
3	Nomination & Remuneration Committee	Mrs. RAJNI SETH, (Director) Mr. SANDEEP GUPTA, (Independent Director) Mr. RANJAN SRINATH, (Independent Director)
4	Stakeholders Grievances Committee	Mr. SANDEEP GUPTA, (Independent Director) Mr. RANJAN SRINATH, (Independent Director) Mr. SANJAI SETH, (Whole Time Director)

### 8. DISCLOSURE AS PER SECRETARIAL STANDARDS:

The company confirms the compliance of applicable Secretarial Standards throughout the year.

### 9. EMPLOYEES' STOCK OPTION PLAN

Your company had not provided any employees stock options.

### 10. BOARD OF DIRECTORS AND KEY MANAGERIAL PERSONNEL OF THE COMPANY

The Board consists of executive & non- executive directors including independent directors who have wide and varied experience in different disciplines of corporate functioning.

In accordance with the provisions of Section 152 of the Companies Act, 2013 and that of Articles of Association of the Company, Mrs. Rajni Seth Director of the Company retires by rotation at this AGM of the Company and being eligible, offers herself for re-appointment.

Further in accordance with the provisions of section 149, 196, 197, 198 and 203 of Companies Act, 2013 read with Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 and Schedule V of Companies Act, 2013 and subject to Articles of Association of the Company Mr. Sanjai Seth re-appointed as Whole-Time Director for a period of 5 years commencing from November 01, 2021 to October 31, 2026.

As stipulated under the Regulation 36(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, brief resume of the Director proposed to be re-appointed and appointed are given in the Notice.

### 11. STATUTORY AUDITORS

In pursuance to the provisions of Section 139, 141 of the Companies Act, 2013 and Rule 3 of Companies (Audit and Auditors ) Rules, 2014 and Listing Agreement with the BSE, your Directors request the members of company for appointment of M/s Sharma Goel & Co. LLP, Chartered Accountants, New Delhi (**Firm Registration no. 000643N/N500012**) as the Statutory Auditor for the period of 5 years commencing from the FY 2021-22 to FY 2026-27, in place of retiring Auditors, M/s Adiwise M.K. & Associates, Chartered Accountants (FRN: 007180N). M/s Sharma Goel & Co. LLP, Chartered Accountants, New Delhi (**Firm Registration no. 000643N/N500012**) offers themselves eligible for appointment. The

company has received a certificate from the auditors to the effect that their appointment if made, would be in accordance with the provisions of section 141 of the Companies Act, 2013, read with Companies (Audit and Auditors) Rules, 2014.

**12. AUDITOR’S REPORT**

Comments of the Auditors in their report and their notes forming part of the Accounts are self explanatory and need no comments. There is no qualification, reservation or adverse remark or disclaimer made by the auditor in his report for the period under review.

**13. SECRETARIAL AUDIT AND THE APPOINTMENT OF THE SECRETARIAL AUDITORS**

The company has appointed M/s Sarika Jain & Associates, Company Secretaries (**Firm Regn No. I2010DE725400**) to hold the office of the Secretarial Auditors and to conduct the Secretarial Audit and to issue Secretarial Audit Report, as required under Section 204 of the Companies Act, 2013 and the Rules thereunder. The Secretarial Audit Report for the FY 2020-21 is being attached as **Annexure I** with the Directors Report which is explanatory.

**14. COMMENTS OF SECRETARIAL AUDITOR REPORT**

Comments of the Secretarial Auditors in their report and their notes forming part of the Accounts, are self-explanatory and need no comments except the following qualification, reservation made by the secretarial auditor in her report for the period under review.

Qualification	Management Reply
Delay in submission of Corporate Governance Report for the quarter ending 30th June, 2020 as required under Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and accordingly BSE Limited imposes the fine on the company	Due to Covid Pandemic situation and lockdown, the report was filed with delay of 5 days. However, the company paid the fine to BSE Limited

**15. COST AUDITORS:**

As the provisions of Section 148 of the Companies Act, 2013 are not applicable on the Company therefore Cost audit of the Company has not been conducted for the financial year 2020-21.

**16. PUBLIC DEPOSIT**

The Company neither invited nor accepted any Public Deposit in the year 2020-21. There are no depositors in the company.

**17. DIRECTOR’S RESPONSIBILITY STATEMENT**

In accordance with Sub-Section (5) Of Section 134 of the Companies Act, 2013, the Board of Directors of the company confirms and submits the Directors Responsibility Statement:

- a) in the preparation of the annual accounts, the applicable accounting standards had been followed along with proper explanation relating to material departures;
- b) the directors had selected such accounting policies and applied them consistently and made judgments and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the company at the end of the financial year and of the profit and loss of the company for that period;
- c) the directors had taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of this Act for safeguarding the assets of the company and for preventing and detecting fraud and other irregularities;
- d) the directors had prepared the annual accounts on a going concern basis; and
- e) the directors, in the case of a listed company, had laid down internal financial controls to be followed by the company and that such internal financial controls are adequate and were operating effectively.  
 “Internal Financial Controls” means the policies and procedures adopted by the company for ensuring the orderly and efficient conduct of its business, including adherence to company’s policies, the safeguarding of its assets, the prevention and detection of frauds and errors, the accuracy and completeness of the accounting records, and the timely preparation of reliable financial information.
- f) the directors had devised proper systems to ensure compliance with the provisions of all applicable laws and that such systems were adequate and operating effectively.

**18. PERSONNEL**

The company continued to have cordial relations with the employees.

**19. MANAGEMENT DISCUSSION AND ANALYSIS REPORT**

A Management Discussion and Analysis as required under Regulation 34 of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 is annexed as Annexure II and forming part of the Director Report.

**20. SHARE CAPITAL**

The Authorized share capital as on 31<sup>st</sup> March, 2021 was Rs. 225,000,000/- and the issued, subscribed and paid-Up Equity Share Capital as on 31<sup>st</sup> March, 2021 was Rs. 100,003,000/-. During the year under review, the Company has not issued shares with differential voting rights nor granted any stocks options or sweat equity. As on 31<sup>st</sup> March, 2021 none of the Directors of the Company holds instrument convertible into equity shares of the Company.

**21. TRAINING**

A number of in-house programmers were conducted at registered office during the year under review.

**22. PARTICULARS OF CONTRACTS OR ARRANGMENTS WITH RELATED PARTIES**

The Company has entered into any contracts or arrangements with Related Party as referred to in Section 188 of the Companies Act, 2013 for the period under review. The Disclosure of Related Party Transactions in **Form AOC-2** is annexed as **Annexure III**.

The Policy on materiality of related party transactions and dealing with related party transactions as approved by the Board may be accessed on the Company's website at the link: [www.mymoneyviews.com](http://www.mymoneyviews.com).

**23. PARTICULARS OF EMPLOYEES**

None of the employees of the Company fall within the purview of the limits as prescribed under Rule 5 (2) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014.

**24. LISTING OF SHARES**

The equity shares of the company are listed on BSE Ltd and BSE scrip code of the company is 538862. The company confirms that it has paid the Annual Listing fee for the Financial Year 2020-21 to BSE where the company shares are listed.

**25. DEMATERIALIZATION OF SHARES:**

The shares of the company are already in the DEMAT form. Any member wish to get his/her shares may get the shares dematerialisation.

**26. CORPORATE GOVERNANCE**

As per the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, a separate Report on Corporate Governance practices followed by the Company, together with a Certificate from Ms. Sarika Jain, Proprietor of M/s Sarika Jain & Associates, Company Secretaries confirming compliance forms an integral part of this Report.

The Company has received certificate dated August 19, 2021, from Ms. Sarika Jain, Proprietor of M/s Sarika Jain & Associates, Company Secretaries, confirming that none of the Directors of the Company have been debarred or disqualified from being appointed or continuing as director of companies by the SEBI/Ministry of Corporate of Affairs or any such authority. The certificate forms an integral part of this Report.

Further, as per the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, CEO/CFO Certification confirming the correctness of the financial statements, adequacy of the internal control measures and reporting of matters to the Audit Committee forms an integral part of this Report.

**27. TRANSFER TO GENERAL RESERVES**

No amount has been transferred to the General Reserves of the company during the period under review.

**28. INTERNAL CONTROL SYSTEM AND THEIR ADEQUACY:**

The Company has adequate system of internal control to safeguard and protect from loss, unauthorized use or disposition of its assets commensurate with its size, scale and complexities of its operations. The internal auditor of the company checks and verifies the internal control and monitors them in accordance with policy adopted by the company.

The Audit Committee of the Board of Directors actively reviews the adequacy and effectiveness of the internal control systems and suggests improvements to strengthen the same.

All the transactions are properly authorized, recorded and reported to the Management. The Company is following all the applicable Accounting Standards for properly maintaining the books of accounts and reporting financial statements.

**29. NOMINATION AND REMUNERATION POLICY:**

Pursuant to Provisions of Section 178 of the Companies Act, 2013 and Regulation 19 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and on the recommendation of the Nomination & Remuneration committee the Board had adopted policy for selection and appointment of Directors, Senior Management and their remuneration in the year 2014. The details of Remuneration Policy are stated in the Corporate Governance Report. The Nomination and Remuneration Policy is posted on the website of the Company.

**30. RISK MANAGEMENT:**

As per the Companies Act, 2013 and as part of good corporate governance the Company has constituted the Risk Management Committee. The Committee is required to lay down the procedures to inform to the Board about the risk assessment and minimization procedures and the Board shall be responsible for framing, implementing and monitoring the risk management plan and policy for the Company.

The main objective of this policy is to ensure sustainable business growth with stability and to promote a pro-active approach in reporting, evaluating and resolving risks associated with the business. In order to achieve the key objective, the policy establishes a structured and disciplined approach to Risk Management, in order to guide decisions on risk related issues.

The Committee reviewed the risk trend, exposure and potential impact analysis carried out by the management. It was specifically confirmed to the Committee by the MD & CEO and the CFO that the mitigation plans are finalized and up to date, owners are identified and the progress of mitigation actions are monitored.

**31. PERFORMANCE EVALUATION**

Pursuant to the provisions of the Companies Act, 2013 and the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015, a separate exercise was carried out to evaluate the performance of individual Directors including the Chairman of the Board who were evaluated on parameters such as level of engagement and contribution and independence of judgment thereby safeguarding the interest of the Company. The performance evaluation of the Independent Directors was carried out by the entire Board. The performance evaluation of the Chairman and the Non Independent Directors was carried out by the Independent Directors. The Board also carried out annual performance evaluation of the working of its Audit, Nomination and Remuneration as well as stakeholder relationship committee. The Directors expressed their satisfaction with the evaluation process.

**32. BOARD EVALUATION;**

Pursuant to the provisions of the Act and the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Board has carried out an annual evaluation of its performance, the Directors individually as well as the evaluation of the working of its Audit and Nomination & Remuneration Committees.

**33. DETAILS OF REMUNERATION TO DIRECTORS & KMP**

**Particulars of employees**

The information required under Section 197 of the Act read with rule 5(1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 are given below:

**a. The ratio of the remuneration of each director to the median remuneration of the employees of the Company for the financial year:**

S. No.	Name of Non-executive directors	Remuneration of Non-Executive Directors (in Rs.)	Ratio to median remuneration
1	RAJNI SETH	Nil	N.A.
2.	RANJAN SRINATH	Nil	N.A.
3.	SANDEEP GUPTA	Nil	N.A.

S. No.	Name of Executive directors	Remuneration of Executive Directors (in Rs.)	Ratio to median remuneration
1	SANJAI SETH	10,68,000	2.96
2.	VIKAS SETH	7,08,000	1.96

- b. The percentage increase in remuneration of each director, chief executive officer, chief financial officer, company secretary in the financial year:

S. No.	Directors, Chief Executive Officer, Chief Financial Officer and Company Secretary	Remuneration of Director (in Rs.)	% increase/(decrease) in remuneration in the financial year
1.	SANJAI SETH(Whole Time Director)	10,68,000	Nil
2.	VIKAS SETH(Managing Director)	7,08,000	Nil
3.	PAWAN CHAWLA(Chief Financial Officer)	5,22,600	2.27
4.	VARUN KALRA*(Company Secretary)	1,08,000	Nil
5.	MANISHA**(Company Secretary)	1,47,000	NA

\* resigned on 01/08/2020.

\*\* appointed on 14/08/2020

- c. The percentage increase in the median remuneration of employees in the financial year: NIL
- d. There were ten employees on the rolls of Company.
- e. **The explanation on the relationship between average increase in remuneration and Company performance:**  
Not Applicable
- f. **Comparison of the remuneration of the key managerial personnel against the performance of the Company:**

Aggregate remuneration of key managerial personnel (KMP) in FY 2020-21	25,53,600
Revenue	6,929,779
Remuneration of KMPs (as % of revenue)	36.85
Profit/(Loss) before Tax (PBT)	21,132
Remuneration of KMP (as % of PBT)	12084

- g. **Variations in the market capitalisation of the Company, price earnings ratio as at the closing date of the current financial year and previous financial year:**

Particulars	March 31, 2021	March 31, 2020	% Change
Market Capitalisation	22.50 Crore	22.60 Crore	(0.44%)
Price Earnings Ratio	Nil	Nil	Nil

- h. **Percentage increase over decrease in the market quotations of the shares of the Company in comparison to the rate at which the Company came out with the last price of the previous year:**

Particulars	March 31, 2021	% Change
Market Price (BSE)	22.50	(0.44%)



i. **Comparison of remuneration of the key managerial personnel against the performance of the Company:**

	<b>VIKAS SETH (Managing Director)</b>	<b>SANJAI SETH (Whole Time Director)</b>	<b>PAWAN CHAWLA (Chief Financial Officer)</b>	<b>VARUN KALRA (Company Secretary)</b>	<b>MANISHA (Company Secretary)</b>
Remuneration in FY 2020-21	7,08,000	10,68,000	5,22,600	1,08,000	1,47,000
Revenue	<b>6,929,779</b>	<b>6,929,779</b>	<b>6,929,779</b>	<b>6,929,779</b>	<b>6,929,779</b>
Remuneration as % of Revenue	10.22	15.41	7.54	1.56	2.12
Profit before Tax (PBT)	<b>21,132</b>	<b>21,132</b>	<b>21,132</b>	<b>21,132</b>	<b>21,132</b>
Remuneration (as % of PBT)	3350	5053	2473	511	6.95

- j. The key parameters for any variable component of remuneration availed by the directors: **Not Applicable**
- k. The ratio of the remuneration of the highest paid director to that of the employees who are not directors but receive remuneration in excess of the highest paid director during the year: **None**.

l. **Affirmation that the remuneration is as per the remuneration policy of the Company:**

The Company affirms remuneration is as per the remuneration policy of the Company.

- m. The statement containing particulars of employees as required under Section 197(12) of the Act read with Rule 5(2) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014, is provided in a separate annexure forming part of this report. Further, the report and the accounts are being sent to the members excluding the aforesaid annexure. In terms of Section 136 of the Act, the said annexure is open for inspection at the Registered Office of the Company. Any shareholder interested in obtaining a copy of the same may write to the Company Secretary.

**34. DISCLOSURES:**

**I. AUDIT COMMITTEE**

The Audit Committee Comprises two Non- Executive Directors and one Executive Director, all the Non-executive directors are Independent Director. Mr. RANJAN SRINATH is the Chairman of the Audit Committee and Mr. SANDEEP GUPTA & Mr. SANJAI SETH are members of the committee. Mr. RANJAN SRINATH has been a Banker with over 35 years of experience. The composition of the Audit Committee meets the requirements as per the Section 177 of the Companies Act, 2013 and the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

There are no recommendations of the Audit Committee which have not been accepted by the Board.

**II. VIGIL MECHANISM / VIGIL MECHANISM POLICY**

In order to ensure that the activities of the Company and its employees are conducted in a fair and transparent manner by adoption of highest standards of professionalism, honesty, integrity and ethical behavior of the company has adopted a vigil mechanism policy.

**III. PARTICULARS OF LOANS, GUARANTEE OR INVESTMENTS UNDER SECTION 186:**

Details of investments covered under section 186 of the Companies Act, 2013 is provided in the notes no. 4 to the Financial Statements.

**IV. SIGNIFICANT AND MATERIAL ORDERS PASSED BY THE REGULATORS OR COURTS**

There are no significant material orders passed by the Regulators / Courts which would impact the going concern status of the Company and its future operations.

**V. CONSERVATION OF ENERGY AND TECHNOLOGY ABSORPTION**

The Provisions of Section 134(3)(m) of the Companies Act, 2013 read with Rule 8 of Companies (Accounts) Rules, 2014 regarding Conservation of Energy and Technology Absorption do not apply as operations of your Company are not energy - intensive for the period under review. However, adequate measures have been taken to reduce energy consumption by using energy-efficient equipment incorporating the latest technology.

**VI. MATERIAL CHANGES AND COMMITMENTS**

There is no material change which may affect the financial position of the Company between the financial year and up to the date of this report.

**VII. EXTRACT OF ANNUAL RETURN:**

The Annual Return in **Form MGT-9** as required under Section 92 and 134 (3) of the Companies Act, 2013 read with rules made thereunder, is attached herewith as **Annexure IV** and forms part of this Report.

The Annual Return in Form MGT-9 has been also placed on the website of the company and can be accessible at [www.mymoneyviews.com](http://www.mymoneyviews.com).

**VIII. FOREIGN EXCHANGE EARNINGS AND OUTGO:**

Foreign Exchange Earning: Rs. NIL (Previous Year Rs. NIL)

Foreign Exchange Outgo: Rs. NIL (Previous Year Rs. NIL)

**IX. DISCLOSURE UNDER THE SEXUAL HARASSMENT OF WOMEN AT WORK PLACE (PREVENTION, PROHIBITION AND REDRESSAL) ACT, 2013:**

The Company has in place an Anti-Sexual Harassment Policy in line with the requirements of the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013. An Internal Complaints Committee (ICC) has been set up to redress complaints received regarding sexual harassment. All employees (permanent, contractual, temporary, trainees) are covered under this policy.

No complaints pertaining to sexual harassment were received during FY 2020-21.

**35. ACKNOWLEDGEMENTS**

The Board expresses its sincere gratitude to the shareholders, bankers and clients for their continued support. The Board also wholeheartedly acknowledges with thanks the dedicated efforts of all the staff and employees of the Company.

**For and on behalf of the Board  
My Money Securities Limited**

**Sd/-  
Sanjai Seth  
Whole Time Director  
DIN: 00350518**

**ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Sd/-  
Vikas Seth  
Managing Director  
DIN: 00383194**

**ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Place : New Delhi  
Dated : 27/08/2021**

**SECRETARIAL AUDIT REPORT**

*FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2021*

[Pursuant to section 204(1) of the Companies Act, 2013 and rule No.9 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014]

To  
The Members  
My Money Securities Limited  
CIN: L67120DL1992PLC047890  
10-A, Under Hill Lane  
Civil Lines, New Delhi-110054

We have conducted the secretarial audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by M/s My Money Securities Limited (CIN: L67120DL1992PLC047890), (hereinafter called the company). Secretarial Audit was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

Based on our verification of the company's books, papers, minute books, forms and returns filed and other records maintained by the company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, We hereby report that in our opinion, the company has, during the audit period covering the financial year ended on 31<sup>st</sup> March, 2021 complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

We have examined the books, papers, minute books, forms and returns filed and other records maintained by M/s My Money Securities Limited ("the Company") for the financial year ended on 31<sup>st</sup> March, 2021 according to the provisions of:

- (i) The Companies Act, 2013 (the Act) and the rules made thereunder;
- (ii) The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made thereunder;
- (iii) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder;
- (iv) Foreign Exchange Management Act, 1999 and the rules and regulations made thereunder to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings; **NOT APPLICABLE**
- (v) The following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act'):-
  - a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
  - c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
  - d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **NOT APPLICABLE**
  - e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **NOT APPLICABLE**
  - f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **NOT APPLICABLE**
  - g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **NOT APPLICABLE**
  - h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
  - i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996;
  - j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
  - k) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; and **NOT APPLICABLE**
  - l) The Securities and Exchange Board of India (Stock Broker and Sub Broker) Regulations, 1992 and other applicable amendment in said regulations.

and circulars/ guidelines issued thereunder;

- (vi) All relevant laws applicable to the company as provided by the management hereunder:
- a. Finance Act, 1994.
  - b. Income Tax Act, 1961 and the rules made thereunder.
  - c. Information Technology Act, 2000 and the rules made thereunder.
  - d. Listing Agreement of Equity shares with Bombay Stock Exchange (BSE).
  - e. The Competition Act, 2002
  - f. Indirect Tax Laws.

We have also examined compliance with the applicable clauses of the following:

**(i) Secretarial Standards issued by The Institute of Company Secretaries of India.**

The Secretarial Standards issued & notified by The Institute of Company Secretaries of India are duly complied by the company.

**(ii) Listing Agreements**

The Listing Agreements entered into by the Company with Bombay Stock Exchange (BSE).

During the period under review and as per the explanations and clarifications given to us and the representations made by the Management, the Company has generally complied with the provisions of the Act, Rules, Regulations, Guidelines, etc. mentioned above except *submission of Corporate Governance Report for the quarter ending 30th June, 2020 as required under Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. The Corporate Governance report was filed with a delay of 5 days and accordingly BSE Limited imposes the fine on the company.*

**We further report that**

The Board of Directors of the Company is duly constituted with proper balance of Executive Directors, Non-Executive Directors and Independent Directors. The changes in the composition of the Board of Directors that took place during the period under review were carried out in compliance with the provisions of the Act.

Adequate notice is given to all directors to schedule the Board Meetings, agenda and detailed notes on agenda were sent at least seven days in advance, and a system exists for seeking and obtaining further information and clarifications on the agenda items before the meeting and for meaningful participation at the meeting. Majority decision is carried through while the dissenting members' views are captured and recorded as part of the minutes.

**We further report that** there are adequate systems and processes in the company commensurate with the size and operations of the company to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

**We further report that** during the audit period, there were no specific events/actions such as Public Issue of Securities, Buy Back, Merger, amalgamation or any other major decisions have taken place which requires compliance of applicable provisions.

For **Sarika Jain & Associates**  
Company Secretaries  
Firm Regn No. I2010DE725400

**Sd/-**  
**Sarika Jain**  
Company Secretary  
FCS 8185  
CP No. 8992

**Firm Regn No. I2010DE725400**  
UDIN: F008185C000798095

Date: 19th August, 2021  
Place: New Delhi

Note: This report should be read with the letter of even date which is annexed as 'ANNEXURE B' and forms an integral part of this report.

**BOOKS, PAPERS AND MINUTE BOOKS MAINTAINED BY THE COMPANY**

1. Book containing the Minutes of the Board Meeting, General Meeting and Committees Meeting.
  2. Books of Accounts.
  3. Register of Members.
  4. Register of Transfer & Transmission.
  5. Register of Director's and Key Managerial Personnel.
  6. Register of Directors Shareholding.
  7. Register of Charges.
  8. Registers of Director's and Shareholder's Attendance.
  9. Registers of Loans, Investments and Guarantee.
  10. Agenda's of Board & Committee Meeting.
  11. Registers of Contract or arrangements in which directors are interested.
- 

**ANNEXURE B**

To  
The Members  
My Money Securities Limited  
CIN: L67120DL1992PLC047890  
10-A, Under Hill Lane  
Civil Lines, New Delhi-110054

Our report of even date is to be read along with this letter.

1. Maintenance of secretarial record is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in Secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and Books of Accounts of the Company.
4. We have based our opinion on the matters relating to the Income Tax Act and Service Tax matters on the basis of Internal Audit Report for each quarter in the FY 2020-21 by the Internal Auditors.
5. Where ever required, we have obtained the Management representation about the compliance of laws, rules and regulations and happening of events etc.
6. The compliance of the provisions of Corporate and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedures on test basis.
7. The Secretarial Audit report is neither an assurance as to the future viability of the Company nor of the efficacy or effectiveness with which the management has conducted the affairs of the Company.

For **Sarika Jain & Associates**  
Company Secretaries  
Firm Regn No. I2010DE725400

**Sd/-**  
**(Sarika Jain)**  
Company Secretary  
FCS 8185  
CP No. 8992

**Firm Regn No. I2010DE725400**  
UDIN: F008185C000798095

Date: 19th August, 2021  
Place: New Delhi

## **MANAGEMENT DISCUSSION AND ANALYSIS**

### **Economic agenda remains in the forefront for India's government**

India stepped into FY2021 with Covid-19 induced lockdown in place. To check the spread of the virus, government announced lockdown for 21 days till April 14 and later on extended it to May 31. RBI announced a number of measures to help tackle the COVID-19 pandemic like repo rate cut by 115 bps to 4%, moratorium of three months of EMIs on all outstanding loans which was later on extended by another three months till August end, auction of targeted long term repo operations worth R 1 trillion, Special refinance facility for HFCs etc. The main aims of these measures were to facilitate and incentivize credit flows, ease the financial stress and enable the formal functioning of the market. Government first announced an economic stimulus package worth R 1.7 trillion to help millions of low income cope with lockdown and a second package of R 20 trillion later on to revive the country's economy. The year also witnessed India-China border dispute and ban of certain Chinese apps by Indian government. On global front, the major events that made headlines include US China trade tensions, US presidential election, West Asia peace initiatives, negative oil prices etc.

### **Equity Markets**

Market had a roller coaster ride in FY2021. Both Sensex and Nifty closed at an all-time high of 52,154 and 15,315 respectively in the month of February, 2021. Then came corona virus and as the pandemic rampaged across the world, Sensex and Nifty ended the year with large negative returns. With India in midst of a complete lockdown, Sensex and Nifty closed at 49,509 and 14,691 levels respectively in March, 2021. The outlook for the markets is positive and can expect a sustained rally over the short and medium term.

### **RISKS AND CONCERNS**

We are primarily exposed to credit risk, liquidity risk and operational risks; we have constituted Asset Liability Management Committee to manage these risks. This team identifies, assesses and monitors all of our principal risks in accordance with defined policies and procedures. The committee is headed by C.E.O.

### **OUR BUSINESS STREAMS**

#### **Business Stream**

Broking & Distribution

Currency Trading

Institutional Broking

Wealth Management

Investment Banking

#### **Primary Products and services**

Equity (cash and derivatives)

Distribution of financial products like Mutual

Fund, IPO

Depository services

Dealing and hedging various currencies.

Equity (cash and derivatives) broking

Advisory

Capital raising

M&A Advisory

Private Equity Placements

Corporate Finance Advisory

Restructuring

### **BROKING BUSINESS**

#### **Industry Facts**

The average daily traded volumes (ADTO) for the equity markets during FY2021 stood at Rs. 27.41 lakh crores, up 90% YoY from Rs. 14.44 lakh crores in FY2020. The overall Cash market ADTO reported growth of 66% YoY at Rs. 64,951 crores in FY2021. Delivery saw growth of 39% YoY to Rs. 12,718 crores. Within derivatives, futures volume increased 24% YoY to Rs. 1.09 lakh crores while options rose 95% YoY to Rs. 25.67 lakh crores. Amongst cash market participants, retail constitutes 56% of total cash volume, institution constitutes 18% of total cash volume and prop constitutes 26%. The proportion of DII in the cash market was 7%.

### **OUR BROKING BUSINESS**

Backed by strong research and advisory capabilities, our broking business covers the entire spectrum of participants in the capital markets ranging from filis to Domestic institutions, HNIs to mass retail. This large base is addressed through distinctly positioned business and personal relationships.

The company expects to focus on building relationship with new associates while strengthening the bonds with existing ones.

We would be recruiting fresh blood across various product lines like currency, derivatives, interest rate future etc.

The company's focus on high net worth individuals would continue to remain the cornerstone strategy for the company.

***We will harness our relationship with corporates and have strategic tie-up in key international markets to strength our niche position in the capital markets. Our endeavor would to have at least 2500 clients with a portfolio at least ' one crore each. This would give us a portfolio of a \$ billion a crucial landmark in a \$ trillion market capitalization. This would be in addition to around 50 corporate relationships each with market cap of around Rs. 500 crores each.***

Your company is trying to increase its customer base. It has started focusing on individual clients to stay afloat in volatile markets.

We will also start focusing on trading of Derivatives which form bulk of the turnover of N.S.E.

The currency segment has shown promise. With the recent volatility in the rupee we expect more and more clients to hedge their positions in the future.

### **OUR INVESTMENT BANKING BUSINESS**

After last year success, we are advising a few clients on raising capital on the SME platform. We expect a substantial income from the advisory and syndication business. Twenty five percent of our revenues should come from this segment.

### **DEPOSITORY SERVICES**

The depository services which were started three years back is a necessary cost to be borne by the Broking business for adhering to new guidelines by SEBI for payin and payout.

### **STRONG CORPORATE ACCESS**

Corporate access activities were carried out throughout the year. Our strong relationship with managements of leading midsize corporates in their fund raising activities. With Banks under stress this activity has opened a new chapter of syndicating from NBFC.

India continues to lead as one of the favored investment destinations for global funds. Our strong clientele base spread across the globe and our constant endeavor to provide best in-class research, advice, corporate access and execution support will also help us to tap these emerging opportunities and gain higher market share across all the institutional client segments.

### **ASSET MANAGEMENT (DISTRIBUTION)**

SEBI's move to allow Stock Exchanges to offer trading platform for Mutual Fund has opened out a complete new segment of intermediation. The Management has taken a decision to distribute Mutual funds through its associate company My Money Credits (P) Ltd.

### **OPPORTUNITIES AND THREATS**

#### **Opportunities**

- Economy is growing at reasonable rate leading to investment / capital requirements.
- Growing Financial Services Industry's share of wallet for disposable income,
- Huge market opportunity for wealth management service provides as Indian wealth management business is transforming from mere wealth safeguarding to growing wealth.
- Regulatory reforms would aid greater participation by all class of investors.
- Leveraging technology to enable best practices and processes.
- Corporate looking at consolidation / acquisitions / restructuring open out opportunities for the corporate advisory business.

#### **Threats**

- Execution risk
- Slowdown in global liquidity flows

- Increased intensity of competition from local national and global players.
- Volatile economic conditions
- Slowdown in Indian Economy.

### **OUR BUSINESS STREAMS AND OUTLOOK:**

The company had undertaken measures to strengthen its capital base December 2010. The Company got Listed on BSE Ltd during the year.

The Company Plans to undertake fund raising programme. The amount and mode would be finalized in next 6 months timing would depend on markets conditions.

The company has made strategic investments in future business:

<b>Sr. No.</b>	<b>Name of the Company</b>	<b>Business</b>	<b>MMSL Shareholding</b>
1.	CAMCO COMMODITIES (P) LIMITED	COMMODITY BROKING	12.20%
2.	MY MONEY CREDITS (P) LTD.	FINANCIAL SERVICES	19.10%

### **Real Estate**

We also plan to set up a Real-Estate desk. Real estate has shown tremendous potential. Most of our HNI client's portfolios are also skewed towards Real estate. Our clients have been selling real estate assets. We have been able to get some allocation towards our various products.

We are also trying to identify newer areas of investments. We plan to take significant minority stakes in growth areas such as entertainment, fitness, education food and beverages, service apartments facility management etc.

For and on behalf of the Board  
**For My Money Securities Limited**

**Sd/-**  
**Sanjai Seth**  
**Whole Time Director**  
**DIN: 00350518**  
**ADD: 10-A, Under Hill Lane,**  
**Civil Lines Delhi-110 054**

**Sd/-**  
**Vikas Seth**  
**Managing Director**  
**DIN: 00383194**  
**ADD: 10-A, Under Hill Lane,**  
**Civil Lines Delhi-110 054**

**Place : New Delhi**  
**Dated : 27/08/2021**



**Form No. AOC-2**

**(Pursuant to clause (h) of sub-section (3) of section 134 of the Act and Rule 8(2) of the Companies (Accounts) Rules, 2014)**

Form for disclosure of particulars of contracts/arrangements entered into by the company with related parties referred to in sub-section (1) of section 188 of the Companies Act, 2013 including certain arms length transactions under third proviso thereto

<b>1. Details of contracts or arrangements or transactions not at arm's length basis</b>	
(a) Name(s) of the related party and nature of relationship	N.A.
(b) Nature of contracts/arrangements/transactions	N.A.
(c) Duration of the contracts / arrangements/transactions	N.A.
(d) Salient terms of the contracts or arrangements or transactions including the value, if any	N.A.
(e) Justification for entering into such contracts or arrangements or transactions	N.A.
(f) date(s) of approval by the Board	N.A.
(g) Amount paid as advances, if any:	N.A.
(h) Date on which the special resolution was passed in general meeting as required under first proviso to section 188	N.A.
<b>2. Details of material contracts or arrangement or transactions at arm's length basis</b>	
(a) Name(s) of the related party and nature of relationship	GOVIND NARAIN SETH (Father of Mr. Sanjai Seth and Mr. Vikas Seth)
(b) Nature of contracts/arrangements/transactions	Rent of Rs. 3.60 Lacs Paid to Mr. Govind Narain seth for office use in the FY 2020-21
(c) Duration of the contracts / arrangements/transactions	36 months
(d) Salient terms of the contracts or arrangements or transactions including the value, if any:	Nil
(e) Date(s) of approval by the Board, if any:	30.05.2019
(f) Amount paid as advances, if any:	Nil

**For and on behalf of the Board  
My Money Securities Limited**

**Sd/-  
Sanjai Seth  
Whole Time Director  
DIN: 00350518**

**ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Sd/-  
Vikas Seth  
Managing Director  
DIN: 00383194**

**ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054**

**Place : New Delhi  
Dated : 27/08/2021**

**FORM NO. MGT-9**  
**EXTRACT OF ANNUAL RETURN**  
As on the financial year ended on 31<sup>st</sup>March 2021  
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the  
Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

i)	CIN:-	L67120DL1992PLC047890
ii)	Registration Date:-	05/03/1992
iii)	Name of the Company:-	MY MONEY SECURITIES LIMITED
iv)	Category / Sub-Category of the Company	Public Company Limited by Shares / Indian Non-Government Company
v)	Address of the Registered office and contact details:-	10-A, Under Hill Lane Civil Lines, Delhi- 110054
vi)	Whether listed company:-	Yes
vii)	Name, Address and Contact details of Registrar and Transfer	M/s Mas Services Limited T-34, 2nd Floor, Okhla Industrial Area Phase II, New Delhi- 110020, Contact No. 011-26387281-83

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:-**

All the business activities contributing 10 % or more of the total turnover of the company are stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ Service	% to total turnover of the Company
1	Brokerage & otherIncome	9971	100

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:-**

S. NO.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
Nil					

**IV. SHAREHOLDINGPATTERN**

(Equity Share Capital Break up as percentage of Total Equity)

**i) Category-wise Share Holding as on 31st March, 2021**

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian									
a) Individual/HUF	2127800	-	2127800	21.277	2127800	Nil	2127800	21.277	Nil
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	3194100	-	3194100	31.94	3194100	Nil	3194100	31.94	Nil

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
e) Banks /FI	-	-	-	-	-	-	-	-	-
f) Any Other...	-	-	-	-	-	-	-	-	-
<b>Sub-total (A) (1):-</b>	5321900	-	5321900	53.217	5321900	Nil	5321900	53.217	Nil
<b>(2) Foreign:</b>	-	-	-	-	-	-	-	-	-
a) NRIs- Individuals	-	-	-	-	-	-	-	-	-
b) Other- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any Other...	-	-	-	-	-	-	-	-	-
<b>Sub-total(A) (2):-</b>	-	-	-	-	-	-	-	-	-
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	5321900	Nil	5321900	53.217	5321900	Nil	5321900	53.217	Nil
<b>B. Public Shareholding</b>	-	-	-	-	-	-	-	-	-
<b>1. Institutions</b>	-	-	-	-	-	-	-	-	-
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total(B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non Institutions</b>	-	-	-	-	-	-	-	-	-
a) Bodies Corp.	1731897	890800	2622697	26.226	2642698	-	2642698	26.427	0.201
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital up to Rs.1 lakh	3086	113000	116086	1.161	3167	112920	116087	1.161	0.00
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	1530015	409600	1939615	19.396	1510015	409600	1919615	19.195	(0.201)

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
c) Any Others Clearing member	2	Nil	2	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-total(B)(2):-</b>	3265000	1413400	4678400	46.783	4155880	522520	4678400	46.783	Nil
<b>Total Public Shareholding (B)= (B)(1)+ (B)(2)</b>	3265000	1413400	4678400	46.783	4155880	522520	4678400	46.783	Nil
C. Shares held by Custodian for GDRs & ADRs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Grand Total(A+B+C)</b>	8586900	1413400	10000300	100	9477780	522520	10000300	100	Nil

**(ii) Shareholding of Promoters**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year 01.04.2020			Shareholding at the end of the year 31.03.2021			% change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of shares pledged/ encumbered to total shares	No. of Shares	% of total shares of the Company	% of shares pledged/ encumbered to total shares	
1.	Sanjai Seth	653600	6.54	Nil	653600	6.54	Nil	Nil
2.	Vikas Seth	546850	5.47	Nil	546850	5.47	Nil	Nil
3.	Rajni Seth	790300	7.90	Nil	790300	7.90	Nil	Nil
4.	Govind Narain Seth	137050	1.37	Nil	137050	1.37	Nil	Nil
5.	Camco Commodities (P) Ltd	1194100	11.94	Nil	1194100	11.94	Nil	Nil
6.	My Money Technologies (P) Ltd	2000000	19.99	Nil	2000000	19.99	Nil	Nil
	<b>TOTAL</b>	<b>5321900</b>	<b>53.21</b>	Nil	<b>5321900</b>	<b>53.21</b>	Nil	Nil

**(iii) Change in Promoters' Shareholding: NO CHANGE**

Sl. No.	Particulars	Shareholding during the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	Not Applicable	Not Applicable			

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name of Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year 31.03.2021	
		No. of Shares	% of total Share capital	No. of Shares	% of total Share capital
1.	IT&T ENTERTAINMENT SERVICES(P) LTD	500000	5.00	500000	5.00
2.	SUPER PROMOTERS (P) LTD	500000	5.00	500000	5.00
3.	SUNVISION METAL & ALLOYS (P) LTD	500000	5.00	500000	5.00
4.	VINAY SHARMA	500000	5.00	500000	5.00
5.	KRANTI PRAKASH JAIN	200000	2.00	200000	2.00
6.	YUKTI SECURITIES (P) LTD.	190000	1.90	190000	1.90
7.	ROHITASAVA CHAND	177700	1.78	177700	1.78
8.	MY MONEY CAPITAL SERVICES (P) LTD.	478858	4.79	498858	4.99
9.	MEHAK INFRACON PRIVATE LTD	452500	4.53	452500	4.53
10.	AJAY SACHDEVA	100000	1.00	100000	1.00

Note: Top ten shareholders of the Company as on 31<sup>st</sup> March, 2021 has been considered for the above disclosure.

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Name of Directors	Shareholding at the beginning of the year		Change in Shareholding			Shareholding at the end of the year	
		No. of Shares	% of total share capital	Increase	Decrease	Reason for change	No. of Shares	% of total Share capital
1.	SANJAI SETH	653600	6.54	Nil	Nil	Nil	653600	6.54
2.	VIKAS SETH	546850	5.47	Nil	Nil	Nil	546850	5.47
3.	RAJNI SETH	790300	7.90	Nil	Nil	Nil	790300	7.90
4.	RANJAN SRINATH	Nil	Nil	Nil	Nil	Nil	Nil	Nil
5.	SANDEEP GUPTA	10	0	Nil	Nil	Nil	10	0
6.	PAWAN CHAWLA	Nil	Nil	Nil	Nil	Nil	Nil	Nil
7.	VARUN KALRA*	Nil	Nil	Nil	Nil	Nil	Nil	Nil
8.	MANISHA**	Nil	Nil	Nil	Nil	Nil	Nil	Nil

VARUN KALRA\* resigned on 01/08/2020

MANISHA\*\* appointed on 14/08/2020

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment (Rs. In lacs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	92.86	1.38	Nil	94.24
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	0.03	Nil	Nil	0.03
<b>Total (i+ii+iii)</b>	<b>92.89</b>	<b>1.38</b>	<b>Nil</b>	<b>94.27</b>
<b>Change in Indebtedness during the financial year</b>				
Additions	7.97	21.01	Nil	28.98
Deletions	Nil	Nil	Nil	Nil
<b>Net Change</b>	<b>7.97</b>	<b>21.01</b>	<b>Nil</b>	<b>28.98</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	100.86	22.39	Nil	123.25
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
<b>Total (i+ii+iii)</b>	<b>100.86</b>	<b>22.39</b>	<b>Nil</b>	<b>123.25</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: (Rs. in lacs)

Sl. No.	Particulars of Remuneration	Name of WTD	Name of MD	Total Amount
		Mr. Sanjai Seth	Mr. Vikas Seth	
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	10.68	7.08	17.76
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			
2.	Stock Option	Nil	Nil	Nil
3.	Sweat Equity	Nil	Nil	Nil
4.	Commission -as % of profit -others, specify...	Nil	Nil	Nil
5.	Others, please specify	Nil	Nil	Nil
	<b>Total (A)</b>	<b>10.68</b>	<b>7.08</b>	<b>17.76</b>
	Ceiling as per the Act	-	-	-

B. Remuneration to other Directors:

(Rs. in lacs)

Sl. No.	Particulars of Remuneration	Name of Directors			Total
		Mrs. Rajni Seth	Mr. Ranjan Srinath	Mr. Sandeep Gupta	
	Independent Directors				
	• Fee for attending board / committee meetings	Nil	Nil	Nil	Nil
	• Commission	Nil	Nil	Nil	Nil
	• Others, please specify	Nil	Nil	Nil	Nil
	Total (1)	Nil	Nil	Nil	Nil
	Other Non-Executive Directors				
	• Fee for attending board / committee meetings	Nil	Nil	Nil	Nil
	• Commission	Nil	Nil	Nil	Nil
	• Others, please specify	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	Nil	Nil	Nil	Nil
	Total Managerial Remuneration	Nil	Nil	Nil	Nil
	Overall Ceiling as per the Act	Nil	Nil	Nil	Nil

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD.

(Rs. In lacs)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		Mr. VARUN KALRA* (CS)	Ms. MANISHA** (CS)	Mr. PAWAN CHAWLA (CFO)	
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1.08	1.47	5.11	7.66
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	Nil	Nil	Nil	Nil
2.	Stock Option	Nil	Nil	Nil	Nil
3.	Sweat Equity	Nil	Nil	Nil	Nil
4.	Commission				
	- as % of profit	Nil	Nil	Nil	Nil
	- others, specify...	Nil	Nil	Nil	Nil
5.	Others, please specify	Nil	Nil	Nil	Nil
	<b>Total</b>	<b>1.08</b>	<b>1.47</b>	<b>5.11</b>	<b>7.66</b>

\*Resigned on 01/08/2020

\*\*appointed on 14/08/2020

**VII. PENALTIES/PUNISHMENT/COMPOUNDING/OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>B. DIRECTORS</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

For and on behalf of the Board of Directors  
My Money Securities Limited

Sd/-  
**Sanjai Seth**  
Whole Time Director  
DIN: 00350518  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Sd/-  
**Vikas Seth**  
Managing Director  
DIN: 00383194  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Place : New Delhi  
Dated : 27/08/2021



**REPORT ON CORPORATE GOVERNANCE**

**1. CORPORATE GOVERNANCE PHILOSOPHY:**

The Mechanism of Corporate Governance is aimed at ensuring the greater transparency and better and timely reporting of the affairs of the Company to its stakeholders. The Element of Corporate Governance contributes in generating the value for its shareholders at large.

My Money Securities Limited believes that good Corporate Governance is vital for achieving long term Corporate Goals and for enhancing Stakeholders value. In this pursuit, your Company's philosophy on Corporate Governance envisages the attainment of highest levels of transparency, accountability and integrity in all facets of its operations. The Company has been practicing the principles of good Corporate Governance over the years. All employees are bound by a code of conduct that sets forth the Company's policies on important issues including with clients, shareholders, National Stock Exchange and Government.

Pursuant to Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations") the Company has executed fresh Listing Agreements with the Stock Exchanges

The Board of Directors of the Company continues to lay great emphasis on the broad principles of Corporate Governance. Our pursuit towards achieving good governance is an ongoing process in-so-far as compliance with the requirements under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is concerned; the Company is in full Compliance with the norms and disclosure that have to be made in corporate governance format.

**COMPOSITION, ATTENDANCE AT THE BOARD MEETINGS AND THE LAST ANNUAL GENERAL MEETING, OUTSIDE DIRECTORSHIP AND OTHER BOARD COMMITTEES**

**2. BOARD OF DIRECTORS**

**a. COMPOSITION**

There are five directors on the board of the company.  
 Mrs. RAJNI SETH,  
 Mr. SANJAI SETH,  
 Mr. VIKAS SETH,  
 Mr. SANDEEP GUPTA  
 Mr. RANJAN SRINATH

**The Details of Attendance of Directors at Board Meetings & the Last AGM, outside directorships & other Board Committees as on 31<sup>st</sup> March, 2021 is tabled hereunder:**

**BOARD MEETINGS HELD DURING THE FINANCIAL YEAR ENDED 2021**

During the year, the Board of Directors duly met five times on 24<sup>th</sup> July, 2020, 14<sup>th</sup> August 2020, 15<sup>th</sup> September, 2020, 12<sup>th</sup> November, 2020 and 12<sup>th</sup> February, 2021. All the Board Meetings were held at the registered office of the Company.

The details of Directors, category of Directors, No. of other Directorship/ Committee membership held by them as on 31st March, 2021 are given below:

S. No.	Name of the Director	Date of Appointment	Designation	Category of Directorship	No. of outside Directorship		No. of Committee	
					Public	Private	Memberships	Chairmanship
1	Mr. Sandeep Gupta	27.09.2019	Chairman	Non Executive/ Independent	Nil	2	3	Nil
2	Mr Vikas Seth	11.01.1993	Managing Director	Executive Director	Nil	5	Nil	Nil
3	Mr. Sanjai Seth	11.01.1993	Whole Time Director	Executive Director	Nil	5	3	Nil
4	Mrs Rajni Seth	14.08.1994	Director	Non Executive	Nil	Nil	2	Nil
5	Mr. Ranjan Srinath	27.09.2019	Director	Non Executive/Independent	Nil	Nil	Nil	4

The Committees considered for the purpose are those prescribed under Regulation 26(1)(b) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015. None of the Directors on the Board is a member of more than 10 Committees or Chairman of more than 5 Committees across all listed Companies in which he is a Director.

All the relevant information, required to be placed before the Board of Directors as per Regulation 17(7) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 are duly considered and taken on record / approved by the Board. Further, the Board periodically reviews Compliance Reports in respect of laws and regulations applicable to the Company.

**C. SHAREHOLDINGS OF DIRECTORS AS ON MARCH 31, 2021**

S.NO.	NAME OF DIRECTOR	SHAREHOLDINGS (NO. OF SHARES)
1	Mr. VIKAS SETH	5,46,850
2	Mr. SANJAI SETH	6,53,600
3	Mr. RANJAN SRINATH	NIL
4	Mrs. RAJNI SETH	7,90,300
5	Mr. SANDEEP GUPTA	10

**D. ATTENDANCE RECORD OF THE DIRECTORS AT THE BOARD MEETINGS AND AT THE ANNUAL GENERAL MEETING IS AS UNDER:**

Name of Director	No. of Board Meeting Attended	Attendance at last AGM
Mr. VIKAS SETH	5	Yes
Mr. SANJAI SETH	5	Yes
Mr. RANJAN SRINATH	5	Yes
Mr. SANDEEP GUPTA	5	No
Mrs. RAJNI SETH	5	Yes

**E. INDEPENDENT DIRECTORS**

The Company has two Independent Directors having expertise in their respective fields. All Independent Directors have given a declaration that they meet the criteria of Independence as required under section 149(7) of the Companies Act, 2013, and they maintain the limit of Directorship as required under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. During the year, a separate meeting of the Independent Directors was held on 12/02/2021 without the attendance of Non Independent Directors. All the Independent Directors attended the said meeting. At this meeting, the Independent Directors inter alia evaluated the performance of the Non-independent Directors and the Board of Directors, as a whole, Evaluation of the quality, content and timelines of flow of information between and Management and the board that it is necessary for the Board to effectively and reasonably perform its duties.

**F. FAMILIARISATION PROGRAMME**

The Company follows familiarisation programmes through various reports/ codes/ policies for all the Directors. The details of familiarization programme have been posted on the website of the Company under the web link: <http://www.mymoneyviews.com>

**G. PECUNIARY RELATIONSHIP**

The independent Directors do not have any material pecuniary relationship or transactions with the Company, its Directors and its senior management personnel which may affect their independence, except for the Sitting fees, drawn for attending the meetings of the Board and Committee(s) thereof.

**H. PERFORMANCE EVALUATION OF DIRECTORS**

The Nomination and Remuneration Committee of the Board reviewed the criteria laid down for the performance evaluation of all Directors. The performance evaluation has been done by the entire Board of Directors, except the Director concerned being evaluated.

**I. BOARD'S FUNCTIONING & PROCEDURES**

The Board plays a pivotal role in ensuring good governance. Its style of functioning is democratic. The Members of

the Board have always had complete freedom to express their opinion and decisions are taken on the basis of a consensus arrived at after detailed discussion. The members are also free to bring up any matter for discussion at the Board Meetings with the permission of the Chairman, accountability are clearly defined. In addition to its primary role of setting corporate goals and monitoring corporate performance, it directs and guides the activities of the management towards the set goals and seeks accountability with a view to ensure that the corporate philosophy and mission viz., to create long term sustainable growth that translates itself into progress, prosperity and the fulfilment of stakeholders' aspirations, is accomplished. It also sets standards of corporate behaviour and ensures ethical behaviour at all times and strict compliance with Laws and Regulations.

The items placed at the Meetings of the Board include the following:

- Report on operations of all businesses including progress on ongoing projects.
- Opportunities for expansion, modernization, new projects.
- Financial plans and budgets and updates/ reviews thereof.
- Strategic and business plans and updates/ reviews thereof.
- Minutes of the meetings of the Audit Committee and other committees of the Board.
- Corporate performance against strategic and business plans.
- The unaudited quarterly financial results and the audited annual accounts of the company.
- Financial statements such as cash flow, inventories, sundry debtors, and/or other liabilities or claims of substantial nature.
- Regular assessment of risk and minimization procedure as per Risk Management policy of the Company. Status of borrowings and details of material foreign exchange exposures and the steps taken by the management to limit the risks of adverse exchange rate movement, if any.
- Delegation of powers to the management.
- Any material default in financial obligations to and by the company.
- Review compliance of all laws applicable to the company including the requirements of the Listing Agreement with the Stock Exchanges and steps taken by the company to rectify instances of non-compliances, if any.
- Material communications from Government including show cause notices demand and penalty notices, if any, which are materially important.
- Communication to the Stock exchanges, the Shareholders and the press regarding Company's performance, future plans and other decision/changes of significant importance or of price sensitive nature.
- All the items on the Agenda are accompanied by notes giving comprehensive information on the related subject and in certain matters such as financial/business plans, financial results, detailed presentations are made. The Agenda and the relevant notes are sent in advance separately to each Director to enable the Board to take informed decisions.
- The minutes of the meetings of the Board are individually given to all directors and confirmed at the subsequent Board Meeting. The Minutes of the various Committees of the Board are also individually given to the Board Directors and thereafter tabled for discussion at the subsequent Board Meeting, in compliance with Secretarial Standards issued by the Institute of Company Secretaries of India.

### **3. COMMITTEES OF THE BOARD**

#### **I. AUDIT COMMITTEE**

##### **Terms of Reference**

The role and terms of reference of the Audit Committee covers the area mentioned under SEBI Regulation 18 of SEBI (LODR) regulations, 2015 and section 177 of the Companies Act, 2013 besides other terms as may be referred by the Board of Directors.

##### **Composition of Audit Committee**

The Audit Committee comprises of three directors. Mr. RANJAN SRINATH, Mr. SANJAI SETH & Mr. SANDEEP GUPTA reconstituted on 27/09/2019.

Mr. RANJAN SRINATH & Mr. SANDEEP GUPTA were Non-Executive Directors and Mr. SANJAI SETH is Executive

Director. Mr. RANJAN SRINATH has having back ground of Banking and Financial knowledge. He is the Chairman of the Audit Committee.

**Meetings and Attendance**

The Audit Committee met 4 times during the financial year ended 2020-21 on 24/07/2020, 15/09/2020, 12/11/2020 and 12/02/2021.

Sr. No.	Name of the Committee Member	Position	Category	No. of Meeting held	No. of Meetings attended
1.	Mr. Ranjan Srinath	Chairman	Independent & NEC	4	4
2.	Mr. Sanjai Seth	Member	ED	4	4
3.	Mr. Sandeep Gupta	Member	Independent	4	4

**ED-Executive Director**

**NEC: Non Executive chairman**

The minutes of the Committee are placed before the Board.

**Functions of the Audit Committee**

- i) Oversight of the company's financial reporting process and the disclosure of its financial information to ensure that the financial statement is correct, sufficient and credible;
- ii) Recommendation for appointment, remuneration and terms of appointment of auditors of the company;
- iii) Approval of payment to statutory auditors for any other services rendered by the statutory auditors;
- iv) Reviewing, with the management, the annual financial statements and auditor's report thereon before submission to the board for approval, with particular reference to:
  - a. Matters required to be included in the Director's Responsibility Statement to be included in the Board's report in terms of clause (c) of subsection 3 of section 134 of the Companies Act, 2013;
  - b. Changes, if any, in accounting policies and practices and reasons for the same;
  - c. Major accounting entries involving estimates based on the exercise of judgment by management;
  - d. Significant adjustments made in the financial statements arising out of audit findings;
  - e. Compliance with listing and other legal requirements relating to financial statements;
  - f. Disclosure of any related party transactions;
  - g. Qualifications in the draft audit report.
- v) Reviewing, with the management, the quarterly financial statements before submission to the board for approval;
- vi) Reviewing, with the management, the statement of uses / application of funds raised through an issue (public issue, rights issue, preferential issue, etc.), the statement of funds utilized for purposes other than those stated in the offer document/ prospectus/ notice and the report submitted by the monitoring agency monitoring the utilisation of proceeds of a public or rights issue, and making appropriate recommendations to the Board to take up steps in this matter;
- vii) Review and monitor the auditor's independence and performance, and effectiveness of audit process;
- viii) Approval or any subsequent modification of transactions of the company with related parties;
- ix) Scrutiny of inter-corporate loans and investments;
- x) Valuation of undertakings or assets of the company, wherever it is necessary;
- xi) Evaluation of internal financial controls and risk management systems;
- xii) Reviewing, with the management, performance of statutory and internal auditors, adequacy of the internal control systems;
- xiii) Reviewing the adequacy of internal audit function, if any, including the structure of the internal audit department, staffing and seniority of the official heading the department, reporting structure coverage and frequency of internal audit;
- xiv) Discussion with internal auditors of any significant findings and follow up there on;

- xv) Reviewing the findings of any internal investigations by the internal auditors into matters where there is suspected fraud or irregularity or a failure of internal control systems of a material nature and reporting the matter to the board;
- xvi) Discussion with statutory auditors before the audit commences, about the nature and scope of audit as well as post-audit discussion to ascertain any area of concern;
- xvii) To look into the reasons for substantial defaults in the payment to the depositors, debenture holders, shareholders (in case of non-payment of declared dividends) and creditors;
- xviii) To review the functioning of the Vigil Mechanism;
- xix) Carrying out any other function as is mentioned in the terms of reference of the Audit Committee.

**Powers of Audit Committee**

- (i) To investigate any activity within its terms of reference.
- (ii) To seek information from any employee.
- (iii) To obtain outside legal or other professional advice.
- (iv) To secure attendance of outsiders with relevant expertise, if it considers necessary.

**Review of information by Audit Committee**

The Audit Committee shall mandatorily review the following information:

- (i) Management Discussion and Analysis of financial condition and results of operations;
- (ii) Statement of significant related party transactions (as defined by the Audit Committee), submitted by management;
- (iii) Management letters / letters of internal control weaknesses issued by the statutory auditors;
- (iv) Internal audit reports relating to internal control weaknesses; and
- (v) The appointment, removal and terms of remuneration of the Chief internal auditor shall be subject to review by the Audit Committee.
- (vi) Statement of deviations:
  - a) quarterly statement of deviation(s) including report of monitoring agency, if applicable, submitted to stock exchange(s) in terms of Regulation 32(1) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 .
  - b) Annual statement of funds utilized for purposes other than those stated in the offer document/ prospectus/ notice in terms of Regulation 32(7) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015.

**II. STAKEHOLDER'S RELATIONSHIP COMMITTEE**

Stakeholder's Relationship Committee was constituted by the Board on 27th March 2014 consequent to the dissolution of Shareholders Grievance Committee. The Committee's composition and the terms of reference are in compliance with the provisions of the Companies Act, 2013 and Regulation 20(1) & (2) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 which was reconstituted on 27/09/2019.

In the Financial year 2020-21, the Stakeholders Relationship committee duly met four times on 24/07/2020, 15/09/2020, 12/11/2020, and 12/02/2021. The Present composition of the committee is as under;

Sr. No.	Name of the Committee Member	Position	Category	No. of Meeting held	No. of Meetings attended
1.	Mr. Sanjai Seth	Member	ED	4	4
2.	Mr. Ranjan Srinath	Chairman	Independent & NEC	4	4
3.	Mr. Sandeep Gupta	Member	Independent	4	4

**ED-Executive Director**

**NEC: Non-Executive chairman**

Investor and Shareholders may contact the Address mentioned below:-

Address:-

My Money Securities Limited

10-A, Underhill Lane, Civil Lines,

Delhi-110054, Ph. 011-23924241

Email: [Investorgrievance@mymoneyviews.com](mailto:Investorgrievance@mymoneyviews.com)

Website: [www.mymoneyviews.com](http://www.mymoneyviews.com)

During the financial ended 2021, The Company has not received any Complaints from the Shareholders and no Complaints pending as on date.

### III. NOMINATION AND REMUNERATION COMMITTEE

In terms of section 178 of the Companies Act, 2013 and the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, The Board of Directors of the company already constituted Nomination and Remuneration committee, which was reconstituted on 27/09/2019. The composition of the Nomination and Remuneration Committee, is as under:

In the Financial year 2020-21, the Nomination and Remuneration committee duly met four times on 24/07/2020, 15/09/2020, 12/11/2020 and 12/02/2021. The Present composition of the committee is as under;

Sr. No.	Name of the Committee Member	Position	Category	No. of Meeting held	No. of Meetings attended
1	Mr. Ranjan Srinath	Chairman	Independent & NEC	4	4
2	Mrs. Rajni Seth	Member	NED	4	4
3.	Mr. Sandeep Gupta	Member	Independent	4	4

**NED-Non Executive Director**

**NEC: Non-Executive chairman**

The board terms of reference of the Nomination & Remuneration committee are as under:

- Formulation of the criteria for determining qualification, positive attributes and independence of a director and recommend to the Board a policy, relating to the remuneration of directors, key managerial personnel and other Senior Management positions.
- Formulation of criteria for evaluation of Independent Directors and the Board.
- Devising a policy on Board diversity.
- Identifying persons who are qualified to become directors and who may be appointed in senior management in accordance with the criteria laid down, and recommend to the board their appointment and removal.

#### Remuneration Policy:

The Appointment and Remuneration of Directors is governed by the recommendations of the Remuneration and Nomination committee, resolutions passed by the Board of Directors and Shareholders of the Company. The remuneration policy is directed towards rewarding performance based on review of achievements.

#### Details of Remuneration paid of Directors for the financial year 2020-21

S. No.	Name of Directors	Designation	Salary per annum (Rs.)
1	SANJAI SETH	Whole Time Director	10,68,000
2	VIKAS SETH	Managing Director	7,08,000

The Company does not have any stock option scheme and No commission was paid to any Directors during the year 2020-21.

#### DIRECTORS:

Mrs. Rajni Seth, Director, is liable to retire by rotation at the ensuing Annual General Meeting and being eligible, offer herself for re-appointment.

It is proposed to re-appoint Mr. Sanjai Seth (DIN 00350518), as Whole-Time Director of the Company for a period of 5 years (commencing from 01st November, 2021 to 31st October, 2026).

**IV. RISK MANAGEMENT COMMITTEE**

Pursuant to the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the company required to constitute Risk Management Committee for evaluating the risk aspects of the company. The Company has re-constituted the Risk Management Committee on 27/09/2019, consisting of three members and it met Four Times during the financial year 2020-21 on 24/07/2020, 15/09/2020, 12/11/2020 and 12/02/2021. The details are given below.

Sr. No.	Name of the Committee Member	Position	Category	No. of Meeting held	No. of Meetings attended
1.	Ranjan Srinath	Chairman	Independent	4	4
2.	Rajni Seth	Member	NED	4	4
3.	Sanjai Seth	Member	ED	4	4

**ED-Executive Director**

**NED: Non Executive Director**

**4. GENERAL BODY MEETINGS:**

**Location and time of Last Three Annual General Meetings:**

Year	Venue	Date	Time
2020	10-A Under Hill Lane, New Delhi-110054 (Conducted via Other Audio Visual Mode/ Video Conferencing)	27/09/2020	12.30 P.M
2019	10-A Under Hill Lane, New Delhi-110054	27/09/2019	11.00 A.M
2018	10-A Under Hill Lane, New Delhi-110054	27/09/2018	11.00 A.M

**3. DISCLOSURES:**

The disclosures of related party transactions have been made in Notes No. 25 to Accounts annexed to the Balance sheet as on 31<sup>st</sup> March, 2021.

There has not been any non-compliance, penalties imposed on the Company by the Stock Exchanges, SEBI or any other statutory authority, on any matter relating to the capital markets, during the last three years.

Pursuant to section 177(9) & (10) of the Companies Act 2013 and the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 the company has formulated Vigil Mechanism Policy for Vigil mechanism for directors and employees to report to the management about the unethical behavior, fraud or violation of Companies Code of Conduct. The mechanism provides for adequate safeguards against the victimization of the employee and directors who use such mechanism and makes provisions for direct access to the chairman of the Audit Committee in exceptional cases. None of the personnel of the company has been denied access to the Audit committee.

Pursuant to the requirements of SEBI (Prohibition of Insider Trading) Regulation, 2015 as amended, the Company has adopted a 'Code of Conduct' for Prevention of Insider Trading' (The Code). The code is applicable to all Directors and such designated employee who are expected to have access to unpublished price sensitive information relating to the Company.

Adoption of non mandatory requirements of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 is being reviewed by the board from time to time.

The Board of Directors adopted the Code of Conduct for Board Members and Senior Management personnel. The said code was communicated to the Directors and members of the senior Management & they affirmed their compliance with the said Code. The Code adopted is posted on the Company's website [www.mymoneyviews.com](http://www.mymoneyviews.com).

The Board of Directors adopted the Code for Prevention of Insider Trading as per SEBI (Prohibition of Insider Trading) Regulations, 2015. The said code was communicated to the Directors and members of the senior Management & they affirmed their compliance with the said Code. The Code adopted is posted on the Company's website [www.mymoneyviews.com](http://www.mymoneyviews.com).

A report on Corporate Governance forms part of the Annual Report of the Company and is sent to the shareholders accordingly. The Company also submits a quarterly compliance report on Corporate Governance to the Stock Exchanges within 21 days from the close of the quarter.

In compliance with Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the

Company duly places a Certificate signed by Managing Director and Chief Financial Officer of the Company before the Board of Directors.

The Company obtains a certificate from its Secretarial Auditors regarding compliance of conditions of Corporate Governance stipulated under Listing Regulations and the said certificate is attached to the Directors Report and forms a part of the Annual Report. The said certificate is sent to the shareholders and Stock Exchanges along with the Annual Report of the Company.

### 1. **SUBSIDIARY/ MONITORING FRAMEWORK**

The Company has no subsidiary company during the financial year ended 31<sup>st</sup> March, 2021.

### 2. **POLICY FOR PRESERVATION OF DOCUMENTS**

Pursuant to the requirements under Regulation 9 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Board has formulated and approved a Document Retention Policy prescribing the manner of retaining the Company's documents and the time period up to certain documents are to be retained.

The policy percolates to all levels of the organization who handle the prescribed categories of documents.

### 3. **MEANS OF COMMUNICATION**

#### a) **Financial Results :**

The Company intimates un-audited as well as audited financial results to the all stock exchanges i.e. BSE Ltd. Immediately after the Board meetings at which they approved. The results of the Company are also published in at least one national and one regional newspaper. The results are published in The Pioneer (English) and Hari Bhoomi (Hindi) as required under the Listing Agreement. The Financial results are also displayed on the company's website [www.mymoneyviews.com](http://www.mymoneyviews.com).

#### b) **News, Release etc.;**

The official news releases etc. are displayed on the Company's website [www.mymoneyviews.com](http://www.mymoneyviews.com).

#### c) **Website:**

The website [www.mymoneyviews.com](http://www.mymoneyviews.com) contains a separate dedicated section for the Company's "Shareholders" where shareholder's information is available. The Annual Report, shareholding pattern, Corporate Governance, CS and compliance officer details, RTA details, code of conduct etc.

#### d) Quarterly results, shareholding pattern, quarterly compliances and all other corporate communication to the Stock Exchange i.e. BSE Ltd are filed electronically.

### 4. **SHARE HOLDER INFORMATION**

#### a. **Appointment/ Re-appointment of Directors**

As required under Regulation 36(3) of the SEBI Listing Regulations and Secretarial Standard 2, particulars of Directors seeking re-appointment at this AGM are given in the Annexure to the Notice of this AGM.

#### b. **Annual General Meeting**

Date & Venue of the Annual General Meeting: Sunday, 26<sup>th</sup> September 2021, at 12.30 P.M. through Video Conferencing ("VC") / Other Audio Visual Means ("OAVM").

##### (i) **Financial calendar for 2021-22 (Provisional)**

**Financial Year: 1<sup>st</sup> April to 31<sup>st</sup> March**

##### **Tentative:**

Results for the quarter ended 30.06.2021: On or before 14th August, 2021

Results for the quarter ended 30.09.2021: On or before 14th November, 2021

Results for the quarter ended 31.12.2021: On or before 14th February, 2022

Results for the quarter ended 31.03.2022: By end of May 2022

##### (ii) **Book Closure Date**

The Register of Members and Share Transfer Books are closed keeping in view the proposed dates of annual



general Meeting. For the year under review the above registers/books would closed from Monday, 20<sup>th</sup> September, 2021 to Sunday 26<sup>th</sup> September, 2021 (both days inclusive)

(iii) **Dividend Payment Date:**

The Board of Directors has not recommended any dividend for the financial year 2020-21.

(iv) **Address for Correspondence/investor Complaints**

**Registrar and Share Transfer Agents:**

M/s MAS Services Limited,  
T-34, 2nd Floor, Okhla Industrial Area Phase-II, New Delhi-110 020  
Ph. 011-2638 7281-83 Fax; 011-2638 7384, E-mail: [info@masserv.com](mailto:info@masserv.com)  
Website: [www.masserv.com](http://www.masserv.com)

**Company**

**Register office:**

My Money Securities Limited  
10-A, Under Hill Lane, Civil Lines, Delhi-110054  
Ph. 011-23937870, 23930655 Fax: 011-23924241  
Email: [mymoneyviews@outlook.com](mailto:mymoneyviews@outlook.com)  
Website: [www.mymoneyviews.com](http://www.mymoneyviews.com)

(v) **Registrar and transfer Agents, Share Transfer System**

All work related to share registry in terms of both Physical and electronic Connectivity is now being maintained at a single point. Your Company has appointed M/s Mas Services Ltd., the Physical Share Transfer Agent of your Company as also the Electronic Registrar of your Company.

Therefore, all correspondences pertaining to share transfers in physical as well as in electronic form and the dematerialization request forms along with the physical share certificates be addressed to:

**M/s Mas Services Limited,**

T-34, 2nd Floor, Okhla Industrial Area Phase-II, New Delhi-110 020  
Ph. 011-2638 7281-83 Fax; 011-2638 7384, E-mail: [info@masserv.com](mailto:info@masserv.com)

**c. Listing of Shares**

**Listing on Stock Exchanges with their Trading Codes:** The Company's scrip is presently listed on one Stock Exchange. The addresses of the Stock Exchanges along with the respective trading codes are as under:

- 1. Bombay Stock Exchange (BSE Ltd. ) :** Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400001 (Scrip Code : 538862)

**d. E-Voting Facility**

E voting facility has been made available for voting on all resolution at the AGM. The details of the same are given in the notice of the AGM.

**e. Stock Code**

International Securities Identification Number (ISIN) for NSDL & CDSL: INE232L01018.

**f. Stock Market Data**

Monthly High & Low quotations of the equity shares of the company traded for the year 2020-21 based upon BSE price data is given below.

Month	High (Rs.)	Low (Rs.)
April, 2020	22.60	22.60
May, 2020	22.60	22.60
June, 2020	22.60	22.60
July, 2020	22.50	22.50
August, 2020	22.50	22.50
September, 2020	22.50	22.50
October, 2020	22.50	22.50
November, 2020	22.50	22.50
December, 2020	22.50	22.50
January, 2021	22.50	22.50
February, 2021	22.50	22.50
March, 2021	22.50	22.50

**g. Shareholding Pattern Of The Company An On 31<sup>st</sup> March, 2021**

S. No.	Category	No. of Equity Shares Held	% of Shareholding
<b>A</b>	<b>Promoter's holding</b>	53,21,900	53.22
	<b>Sub-Total (A)</b>	<b>53,21,900</b>	<b>53.22</b>
<b>B</b>	Non Promoters Holding		
A	Mutual Funds / Banks	Nil	Nil
B	Foreign Institutional Investors	Nil	Nil
C	Bodies Corporate	26,42,698	26.43
D	Individual shareholders holding nominal share capital up to Rs. 1 Lakh	1,16,087	1.16
E	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	19,19,615	19.19
F	Any other	Nil	Nil
G	NRI/OCBs	Nil	Nil
	<b>Sub-Total (B)</b>	<b>46,78,400</b>	<b>46.78</b>
	<b>Grand Total (A+B)</b>	<b>1,00,00,300</b>	<b>100</b>

### h. Distribution Schedule Of Share Holding As On 31<sup>st</sup> March, 2021

Share holding of Nominal Value of Rs.	No of Share Holder	% of total share holder	Total No of shares held	% of Total shareholding
1 to 5000	404	85.412	22866	0.229
5001 to 10000	11	2.326	8971	0.09
10001 to 20000	6	1.268	8400	0.084
20001 to 30000	2	0.423	5000	0.05
30001 to 40000	5	1.057	18500	0.185
40001 to 50000	2	0.423	10000	0.1
50001 to 100000	5	1.057	43690	0.437
100001 and Above	38	8.034	9882873	98.826
<b>TOTAL</b>	<b>473</b>	<b>100</b>	<b>10000300</b>	<b>100</b>

### i. Dematerialization Of Shares

The Equity shares of the company are to be traded compulsorily in Dematerialized form. About 94.78% of paid-up Equity Shares capital has been in dematerialized as on 31<sup>st</sup> March, 2021. The Company had already services of M/s MAS Services Limited, a registry for the transfer and demats of shares. The Equity Shares of the company are in demat segments and are available in the depository system of National Securities Depository Limited and Central Depository Services (India) Limited. The ISIN No. of the Company on both the NSDL and CDSL is INE232L01018.

### j. Depository Services

Shareholders may write to the company or to the respective Depositories for any guidance on depository services:

National Securities Depository Limited  
Trade World, A Wing 4<sup>th</sup> Floor  
Kamla Mills Compound  
Senapati Bapat Marg, Lower Parel,  
Mumbai 400013  
Telephone: 022 24994200  
Toll free: 1800 222 990  
Email: [info@nsdl.co.in](mailto:info@nsdl.co.in)

Central Depository Services (India) Limited  
Marathon Futurex, A-Wing, 25th floor, NM Joshi  
Marg, Lower Parel, Mumbai 400013  
Telephones: 1800-22-5533  
Email: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com)

For and on behalf of the Board of Directors  
My Money Securities Limited

Sd/-  
Sanjai Seth  
Whole Time Director  
DIN: 00350518

ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Sd/-  
Vikas Seth  
Managing Director  
DIN: 00383194  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Place : New Delhi  
Dated : 27/08/2021

**CEO & CFO CERTIFICATE**

The Board of Directors  
My Money Securities Ltd

**We, SANJAI SETH, CEO and PAWAN CHAWLA, CFO of My Money Securities Limited, to the best of our Knowledge and belief certify that:-**

- a. We have reviewed financial statements and the cash flow statement for the year and that to the best of our knowledge and belief:
  - i) These statements do not contain any materially untrue statement or omit any material fact or contain statements that might be misleading;
  - ii) These statements together present a true and fair view of the Company's affairs and are in compliance with existing accounting standards, applicable laws and regulations.
- b. There are, to the best of our knowledge and belief, no transactions entered into by My Money Securities Limited during the year which are fraudulent, illegal or violate of the Company's code of conduct.
- c. We are responsible for establishing and maintaining internal controls for financial reporting in MY MONEY SECURITIES LIMITED and we have evaluated the effectiveness of the internal control systems of the Company pertaining to financial reporting. We have disclosed to the Auditors and the Audit Committee, deficiencies in the design or operation of such internal controls, if any, of which we are aware and the steps we have taken or propose to take to rectify these deficiencies.
- d. We have indicated to the Auditors and the Audit committee
  - i) there are no Significant changes in internal control over financial reporting during the year;
  - ii) there are Significant changes in accounting policies during the year and the same have been disclosed in the Notes to the financial statements; and
  - iii) there are no Instances of significant fraud of which we have become aware and the involvement therein, if any, of the Management or an employee having a significant role in the Company's internal control system over financial reporting
- e. We affirm that we have not denied any personnel access to the Audit Committee of the Company (in respect of matters involving alleged misconduct, if any).
- f. We further declare that all Board members and Senior Management Personnel have affirmed compliance with the Code of Conduct
- g. As required by sub clause 2 of Regulation 33 of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, we have certified to the Board that for the financial year ended March 31, 2021 the Company has complied with the requirements of the said sub clause.

**My Money Securities Limited**

Sd/-  
PAWAN CHAWLA  
CFO

Sd/-  
SANJAI SETH  
CEO  
DIN: 00350518  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Place : New Delhi  
Dated : 27/08/2021

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**DECLARATIONS CERTIFICATE OF CODE OF CONDUCT FOR THE YEAR: 2020-21**

My Money Securities Limited is committed to conduct its business in accordance with the applicable laws, rules and regulations and with the highest standards of business ethics.

As provided under Listing Regulations, I hereby certify that all the Board members and Senior Management personnel have affirmed the compliance with the Code of Ethics and Business Conduct for the year ended 31<sup>st</sup> March, 2021.

**By order of the Board  
For My Money Securities Limited**

Sd/-  
Sanjai Seth  
Whole Time Director  
DIN: 00350518  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Sd/-  
Vikas Seth  
Managing Director  
DIN: 00383194  
ADD: 10-A, Under Hill Lane,  
Civil Lines Delhi-110 054

Place : New Delhi  
Dated : 27/08/2021

**CERTIFICATE IN PURSUANT TO REGULATION 34(3) AND SCHEDULE V PARA C CLAUSE (10)(I) OF  
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

To  
The Members of  
My Money Securities Limited  
CIN: L67120DL1992PLC047890  
10-A, Under Hill Lane  
Civil Lines, New Delhi-110054

We have examined the following documents:

- i) Declaration of non-disqualification as required under Section 164 of Companies Act, 2013 ('the Act');
- ii) Disclosure of concern or interests as required under Section 184 of the Act; (hereinafter referred to as 'relevant documents') as submitted by the Directors of My Money Securities Limited ('the Company') bearing CIN: L67120DL1992PLC047890 and having its registered office at 10-A, Under Hill Lane, Civil Lines, New Delhi-110054, to the Board of Directors of the Company ('the Board') for the financial year 2021-22 and relevant registers, records, forms and returns maintained by the Company and as made available to us for the purpose of issuing this Certificate in accordance with, Regulation 34(3) read with Schedule V Para C Clause 10(i) of SEBI (LODR) Regulations, 2015. We have considered non-disqualification to include non-debarment by Regulatory/ Statutory Authorities.

It is the responsibility of Directors to submit relevant documents with complete and accurate information in accordance with, the provisions of the Act.

Ensuring the eligibility for appointment / continuity of every Director on the Board is the responsibility of the management of the Company. Our responsibility is to express an opinion on these based on our verification.

Based on our examination as aforesaid and such other verifications carried out by us as deemed necessary and adequate (including Directors Identification Number (DIN) status at the portal [www.mca.gov.in](http://www.mca.gov.in)), in our opinion and to the best of our information and knowledge and according to the explanations provided by the Company, its officers and authorised representatives, we hereby certify that none of the Directors on the Board of the Company, as listed hereunder for the financial year ended 31st March, 2021, have been debarred or disqualified from being appointed or continuing as Directors of Companies by Securities and Exchange Board of India / Ministry of Corporate Affairs or any such statutory authority.

S. No.	Name of Director	Director Identification Number (DIN)	Date of Appointment	Date of Cessation
1	Vikas Seth	00383194	11/01/1993	N.A.
2	Sanjai Seth	00350518	11/01/1993	N.A.
3	Rajni Seth	00350604	14/08/1994	N.A.
4	Sandeep Gupta	00411271	27/09/2019	N.A.
5	Ranjan Srinath	08555186	27/09/2019	N.A.

This Certificate is neither an assurance as to the future viability of the Company nor of the efficiency or effectiveness with which the management has conducted the affairs of the Company.

This Certificate has been issued at the request of the Company to make disclosure in its Corporate Governance Report of the financial year ended 31st March, 2021.

**For Sarika Jain & Associates**  
Company Secretaries  
Firm Regn No. I2010DE725400

Sd/-  
**(Sarika Jain)**  
Company Secretary  
FCS 8185  
CP No. 8992

Date: 19th August, 2021  
Place: New Delhi

UDIN: F008185C000798117

**CERTIFICATE ON COMPLIANCE WITH THE CORPORATE GOVERNANCE REQUIREMENTS UNDER SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

To  
The Members of  
My Money Securities Limited  
CIN: L67120DL1992PLC047890  
10-A, Under Hill Lane  
Civil Lines, New Delhi-110054

We have examined the compliance of conditions of Corporate Governance by My Money Securities Limited ("the company"), for the year ended on 31st March 2021, as prescribed in Regulations 17 to 27, 46(2) (b) to (i) and para C, D and E of Schedule V of Chapter IV of SEBI (Listing of Obligations and Disclosures Requirements) Regulations, 2015 (SEBI LODR).

We state that the compliance of conditions of Corporate Governance is the responsibility of the Management. Our examination has been limited to a review of the procedures and implementation thereof adopted by the Company for ensuring compliance with the conditions of the Corporate Governance as stipulated in the said Clause. It is neither an audit nor an expression of opinion on the financial statements of the Company.

In our opinion and to the best of our information and according to the explanations given to us and based on the representations made by the Directors and the Management, we certify that the Company has complied with the conditions of Corporate Governance as stipulated in above mentioned clauses of SEBI (LODR) Regulations, 2015 except submission of Corporate Governance Report for the quarter ending 30th June, 2020 as required under Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and accordingly BSE Limited imposes the fine on the company and the company have paid the same.

We state that such compliance is neither an assurance as to future viability of the Company nor of the efficiency or effectiveness with which the management has conducted the affairs of the Company.

This Certificate has been issued solely for the purpose of complying with the aforesaid Regulations and may not be suitable for any other purpose.

**For Sarika Jain & Associates**  
Company Secretaries  
Firm Regn No. I2010DE725400

Sd/-  
**(Sarika Jain)**  
Company Secretary  
FCS 8185  
CP No. 8992

UDIN: F008185C000798106

Date: 19th August, 2021  
Place: New Delhi

**INDEPENDENT AUDITOR’S REPORT**

To The Members of  
**MY MONEY SECURITIES LIMITED**

**Report on the Standalone Financial Statements**

**Opinion**

1. We have audited the accompanying standalone financial statements of **MY MONEY SECURITIES LIMITED** (“the Company”), which comprise the Balance Sheet as at March 31, 2021, the Statement of Profit and Loss (including Other Comprehensive Income), the Cash Flow Statement and the Statement of Changes in Equity for the year then ended on that date, and notes to the standalone financial statements, including a summary of significant accounting policies and other explanatory information (hereinafter referred to as “the standalone financial statements”).
2. In our opinion and to the best of our information and according to the explanations given to us, the aforesaid standalone financial statements give the information required by the Companies Act, 2013 (“the Act”) in the manner so required and give a true and fair view in conformity with the Indian Accounting Standards prescribed under section 133 of the Act, read with Companies (Indian Accounting Standards) Rules, 2015, as amended, (“Ind AS”) and other accounting principles generally accepted in India, of the state of affairs of the Company as at March 31, 2021, the profit and total comprehensive income, its cash flows and changes in equity for the year ended on that date.

**Basis for Opinion**

3. We conducted our audit of the standalone financial statements in accordance with the Standards on Auditing (SAs) specified under section 143(10) of the Act. Our responsibilities under those Standards are further described in the Auditor’s Responsibilities for the Audit of the Standalone Financial Statements section of our report. We are independent of the Company in accordance with the Code of Ethics issued by the Institute of Chartered Accountants of India (ICAI) together with the ethical requirements that are relevant to our audit of the standalone financial statements under the provisions of the Act and the Rules thereunder, and we have fulfilled our other ethical responsibilities in accordance with these requirements and the Code of Ethics. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on the standalone financial statements.

**Key Audit Matters**

4. Key audit matters are those matters that, in our professional judgment, were of most significance in our audit of the standalone financial statements of the current period. These matters were addressed in the context of our audit of the standalone financial statements as a whole, and in forming our opinion thereon, and we do not provide a separate opinion on these matters. In addition to the matters described in the Material Uncertainty related to Going Concern section and Emphasis of Matter section, we have determined the matters described below to be the key audit matters to be communicated in our report :

Key Audit Matters	Auditor’s Response
<p><b>Revenue Recognition</b></p> <p>The principal services offered by the Company include broking for equities and depository participant services and syndication. Brokerage revenue is recognised on trade date upon exchange confirmations and income from Syndication is recognized based on agreements with clients and when the right to receive income is established.</p> <p>We identified revenue recognition as a key audit matter because there is a risk of revenue considering the judgments involved in the revenue recognition for services.</p>	<p>In view of the significance of the matter we applied the following audit procedures in this area, among others to obtain sufficient appropriate audit evidence:</p> <ol style="list-style-type: none"> <li>1. We assessed the appropriateness of the revenue recognition accounting policies, by comparing with applicable accounting standards.</li> <li>2. We evaluated the design of controls and operating effectiveness of the relevant controls with respect to revenue recognition and accounting for Brokerage and syndication incomes on selected transactions.</li> <li>3. We performed substantive testing by selecting samples of revenue transactions recorded during the year by verifying the underlying documents.</li> <li>4. We carried out analytical procedures on revenue recognized during the year to identify unusual variances.</li> <li>5. We performed confirmation procedures on trade</li> </ol>

	<p>receivable balances at the balance sheet date on a sample basis.</p> <p>6. We tested, on a sample basis, specific revenue transactions recorded before and after the financial year end date to determine whether the revenue had been recognised in the appropriate financial period.</p>
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**Information other than the Standalone Financial Statements and Auditor’s Report Thereon.**

The Company’s Board of Directors is responsible for the preparation of the other information. The other information comprises the information included in the Management Discussion and Analysis, Board’s Report including Annexures to Board’s Report, Business Responsibility Report, Corporate Governance and Shareholder’s Information, but does not include the standalone financial statements and our auditor’s report thereon.

Our opinion on the standalone financial statements does not cover the other information and we do not express any form of assurance conclusion thereon.

In connection with our audit of the standalone financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the standalone financial statements or our knowledge obtained during the course of our audit or otherwise appears to be materially misstated.

If, based on the work we have performed, we conclude that there is a material misstatement of this other information; we are required to report that fact. We have nothing to report in this regard.

**Management’s Responsibility for the Standalone Financial Statements**

The Company’s Board of Directors is responsible for the matters stated in section 134(5) of the Act with respect to the preparation of these standalone financial statements that give a true and fair view of the financial position, financial performance, total comprehensive income, changes in equity and cash flows of the Company in accordance with the Ind AS and other accounting principles generally accepted in India. This responsibility also includes maintenance of adequate accounting records in accordance with the provisions of the Act for safeguarding the assets of the Company and for preventing and detecting frauds and other irregularities; selection and application of appropriate accounting policies; making judgments and estimates that are reasonable and prudent; and design, implementation and maintenance of adequate internal financial controls, that were operating effectively for ensuring the accuracy and completeness of the accounting records, relevant to the preparation and presentation of the standalone financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

In preparing the standalone financial statements, management is responsible for assessing the Company’s ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

The Board of Directors are responsible for overseeing the Company’s financial reporting process.

**Auditor’s Responsibilities for the Audit of the Standalone Financial Statements**

Our objectives are to obtain reasonable assurance about whether the standalone financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor’s report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with SAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these standalone financial statements.

As part of an audit in accordance with SAs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the standalone financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal financial controls relevant to the audit in order to design audit procedures that are appropriate in the circumstances. Under section 143(3)(i) of the Act, we are also responsible for expressing our opinion on



whether the Company has adequate internal financial controls system in place and the operating effectiveness of such controls.

- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
- Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Company's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the standalone financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Company to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the standalone financial statements, including the disclosures, and whether the standalone financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

Materiality is the magnitude of misstatements in the standalone financial statements that, individually or in aggregate, makes it probable that the economic decisions of a reasonably knowledgeable user of the financial statements may be influenced. We consider quantitative materiality and qualitative factors in (i) planning the scope of our audit work and in evaluating the results of our work; and (ii) to evaluate the effect of any identified misstatements in the financial statements.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

We also provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

From the matters communicated with those charged with governance, we determine those matters that were of most significance in the audit of the standalone financial statements of the current period and are therefore the key audit matters. We describe these matters in our auditor's report unless law or regulation precludes public disclosure about the matter or when, in extremely rare circumstances, we determine that a matter should not be communicated in our report because the adverse consequences of doing so would reasonably be expected to outweigh the public interest benefits of such communication.

### **Report on Other Legal and Regulatory Requirements**

1. As required by Section 143(3) of the Act, based on our audit we report that:
  - a) We have sought and obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purposes of our audit.
  - b) In our opinion, proper books of account as required by law have been kept by the Company so far as it appears from our examination of those books.
  - c) The Balance Sheet, the Statement of Profit and Loss including Other Comprehensive Income, Statement of Changes in Equity and the Statement of Cash Flow dealt with by this Report are in agreement with the relevant books of account.
  - d) In our opinion, the aforesaid standalone financial statements comply with the Ind AS specified under Section 133 of the Act, read with Rule 7 of the Companies (Accounts) Rules, 2014.
  - e) On the basis of the written representations received from the directors as on March 31, 2021 taken on record by the Board of Directors, none of the directors is disqualified as on March 31, 2021 from being appointed as a director in terms of Section 164 (2) of the Act.
  - f) With respect to the adequacy of the internal financial controls over financial reporting of the Company and the operating effectiveness of such controls, refer to our separate Report in "Annexure A". Our report expresses an unmodified opinion on the adequacy and operating effectiveness of the Company's internal financial controls over financial reporting.
  - g) With respect to the other matters to be included in the Auditor's Report in accordance with the requirements of section 197(16) of the Act, as amended: In our opinion and to the best of our information and according to the explanations given to us, the remuneration paid by the Company to its directors during the year is in accordance with the provisions of section 197 of the Act.
  - h) With respect to the other matters to be included in the Auditor's Report in accordance with Rule 11 of the Companies

(Audit and Auditors) Rules, 2014, as amended in our opinion and to the best of our information and according to the explanations given to us:

- i. The Company does not have pending litigations which would impact on its financial position.
  - ii. The Company has made provision, as required under the applicable law or accounting standards, for material foreseeable losses, if any, on long-term contracts including derivative contracts.
  - iii. There were no amount which were required to be transferred, to the Investor Education and Protection Fund by the Company.
- 2 As required by the Companies (Auditor's Report) Order, 2016 ("the Order") issued by the Central Government in terms of Section 143(11) of the Act, we give in "Annexure B" a statement on the matters specified in paragraphs 3 and 4 of the Order.

**For ADIWISE M.K. & ASSOCIATES**  
**Chartered Accountants**  
**F.R.N. : 007180N**

**Sd/-**  
**RAJKARAN**  
**Partner**  
**M.No. 086022**

**Place : New Delhi**  
**Dated : 30/06/2021**  
**UDIN : 21086022AAAAAZ9451**

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### **“Annexure A” to the Independent Auditor’s Report – 31<sup>st</sup> March, 2021**

**Referred to in paragraph 1 (f) under the heading ‘Report on Other Legal & Regulatory Requirement’ of our report of even date to the financial statements of the Company for the year ended March 31, 2021**

**Report on the Internal Financial Control s under clause (i) of sub – section 3 of Section 143 of the Companies Act, 2013 (“the Act”):**

We have audited the Internal Financial Control over financial reporting of **My Money Securities Limited** (“the Company”) as of 31st March, 2021 in conjunction with our audit of the financial statements of the Company for the year ended on that date.

#### **Management’s Responsibility for Internal Financial Controls**

The Company’s management is responsible for establishing and maintaining internal financial controls based on “the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting issued by the Institute of Chartered Accountants of India” (ICAI). These responsibilities include the design, implementation and maintenance of adequate internal financial controls that were operating effectively for ensuring the orderly and efficient conduct of its business, including adherence to company’s policies, the safeguarding of its assets, the prevention and detection of frauds and errors, the accuracy and completeness of the accounting records, and the timely preparation of reliable financial information, as required under the Companies Act, 2013.

#### **Auditors’ Responsibility**

Our responsibility is to express an opinion on the Company’s internal financial controls over financial reporting based on our audit. We conducted our audit in accordance with the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting (the “Guidance Note”) and the Standards on Auditing, issued by ICAI and deemed to be prescribed under section 143(10) of the Companies Act, 2013, to the extent applicable to an audit of internal financial controls, both applicable to an audit of Internal Financial Controls and, both issued by the Institute of Chartered Accountants of India. Those Standards and the Guidance Note require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether adequate internal financial controls over financial reporting was established and maintained and if such controls operated effectively in all material respects.

Our audit involves performing procedures to obtain audit evidence about the adequacy of the internal financial controls system over financial reporting and their operating effectiveness. Our audit of internal financial controls over financial reporting included obtaining an understanding of internal financial controls over financial reporting, assessing the risk that a material weakness exists, and testing and evaluating the design and operating effectiveness of internal control based on the assessed risk. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion on the Company's internal financial controls system over financial reporting.

#### **Meaning of Internal Financial Controls Over Financial Reporting**

A company's internal financial control over financial reporting is a process designed to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles. A company's internal financial control over financial reporting includes those policies and procedures that (1) pertain to the maintenance of records that, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of the company; (2) provide reasonable assurance that transactions are recorded as necessary to permit preparation of financial statements in accordance with generally accepted accounting principles, and that receipts and expenditures of the company are being made only in accordance with authorisations of management and directors of the company; and (3) provide reasonable assurance regarding prevention or timely detection of unauthorised acquisition, use, or disposition of the company's assets that could have a material effect on the financial statements.

#### **Inherent Limitations of Internal Financial Controls Over Financial Reporting**

Because of the inherent limitations of internal financial controls over financial reporting, including the possibility of collusion or improper management override of controls, material misstatements due to error or fraud may occur and not be detected. Also, projections of any evaluation of the internal financial controls over financial reporting to future periods are subject to the risk that the internal financial control over financial reporting may become inadequate because of changes in conditions, or that the degree of compliance with the policies or procedures may deteriorate.

#### **Opinion**

In our opinion, the Company has, in all material respects, an adequate internal financial controls system over financial reporting and such internal financial controls over financial reporting were operating effectively as at March 31, 2021, based on "the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting issued by the Institute of Chartered Accountants of India".

**For ADIWISE M.K. & ASSOCIATES**  
**Chartered Accountants**  
**F.R.N. : 007180N**

**Sd/-**  
**RAJKARAN**  
**Partner**  
**M.No. 086022**

**Place : New Delhi**  
**Dated : 30/06/2021**

**UDIN : 21086022AAAAAZ9451**

**“Annexure B” to the Independent Auditors’ Report -31<sup>st</sup> March, 2021**

**Referred to in paragraph 2 under the heading ‘Report on Other Legal & Regulatory Requirement’ section of our report to the Members of My Money Securities Limited of even date**

- 1) In respect of Company’s Fixed Assets:
  - (a) The Company has maintained proper records showing full particulars, including quantitative details and situation of fixed assets.
  - (b) The Company has a regular programme of physical verification of its fixed assets by which fixed assets are verified in a phased manner. In accordance with this programme, certain fixed assets were verified during the year and no material discrepancies were noticed on such verification. In our opinion, this periodicity of physical verification is reasonable having regard to the size of the Company and the nature of its assets.
  - (c) According to the information and explanations given to us and on the basis of our examination of the records of the Company, the company is not holding immovable assets.
- 2) According to the information and explanation given to us, physical verification of inventories is conducted by the management at periodic intervals. The procedures followed by the Company for physical verification of inventories are reasonable and adequate in relation to the size of the company and the nature of its business. The Company is maintaining proper records of inventory and no discrepancies were noticed on physical verification as compared to book records.
- 3) In our opinion and according to the information and explanations given to us, the company has not granted any loans, secured or unsecured to companies, firms or other parties covered in the register maintained under section 189 of the Companies Act. Hence reporting under clause 3(iii) of the Order is not applicable to the company.
- 4)
  - a) In our opinion and according to the information and explanations given to us, the company has neither granted any loans to directors etc nor made loan under section 185 of the Companies Act, 2013.
  - b) In our opinion and according to the information and explanations given to us, the Company has complied with the provisions of Section 186 of the companies Act, 2013 in respect of investments.
- 5) According to the information and explanations given to us, during the FY 2020-21 the Company has not accepted deposits from the public during the year. Therefore, the provisions of clause 3(v) of the Order are not applicable to the Company.
- 6) As informed to us, the maintenance of Cost Records has not been specified by the Central Government under sub-section (1) of Section 148 of the Act, in respect of the activities carried on by the company.
- 7)
  - (a) According to information and explanations given to us and on the basis of our examination of the books of account, and records, the Company has been generally regular in depositing undisputed statutory dues including Income-Tax, Goods and Service Tax, Service Tax, Cess and any other statutory dues with the appropriate authorities. According to the information and explanations given to us, no undisputed amounts payable in respect of the above were in arrears as at March 31, 2021 for a period of more than six months from the date on when they become payable.
  - (b) According to the information and explanation given to us, there are no dues of income tax, Goods and Service Tax, service tax, outstanding on account of any dispute.
- 8) According to the information and explanations given to us, and based on the verification of records of company examined by us, the company has not default in repayment of loan or borrowing’s to financial institution & bank.
- 9) According to the information and explanations provided to us and as per the records of the company examined by us, company has not raised funds by way of public issue/ follow-on offer (including debt instruments) and term loans. Therefore paragraph 3(ix) of the Order is not applicable to the company.
- 10) According to the information and explanations given to us, no material fraud by the Company or on the Company by its officers or employees has been noticed or reported during the course of our audit.
- 11) According to the information and explanations given to us and based on our examination of the records of the Company, the Company has paid/provided managerial remuneration in accordance with the requisite approvals mandated by the provisions of section 197 read with schedule V to the Companies Act.
- 12) In our opinion and according to the information and explanations given to us, the Company is not a Nidhi company. Accordingly, paragraph 3(xii) of the Order is not applicable.

- 13) According to the information and explanations given to us and based on our examination of the records of the Company, transactions with the related parties are in compliance with sections 177 and 188 of the Act where applicable and details of such transactions have been disclosed in the financial statements as required by the applicable accounting standards.
- 14) According to the information and explanations given to us and based on our examination of the records of the Company, the Company has not made preferential allotment of Equity Share/ private placement of shares or fully or partly convertible debenture. Therefore paragraph 3(xiv) not applicable to the company.
- 15) According to the information and explanations given to us and based on our examination of the records of the Company, the Company has not entered into non-cash transactions with director or person connected with them as referred to in section 192 of the Companies Act, 2013. Therefore paragraph 3(xv) not applicable to the company
- 16) In our opinion and according to information and explanation provided to us, Company is not required to be registered under section 45-IA of Reserve Bank of India Act, 1934. Therefore paragraph 3(xvi) of the Order is not applicable to the company.

**For ADIWISE M.K. & ASSOCIATES**  
**Chartered Accountants**  
**F.R.N. : 007180N**

**Sd/-**  
**RAJKARAN**  
**Partner**  
**M.No. 086022**

**Place : New Delhi**  
**Dated : 30/06/2021**  
**UDIN : 21086022AAAAAZ9451**

**BALANCE SHEET AS AT MARCH 31, 2021**

PARTICULARS	NOTE NO.	As at March 31, 2021 (In Rs.)	As at March 31, 2020 (In Rs.)
<b>ASSETS</b>			
<b>Non-Current Assets</b>			
Property, Plant & Equipment	3	839,114	1,066,524
Financial Assets			
- Investments	4	18,357,448	20,136,600
- Others	5	4,084,500	4,125,500
		<b>23,281,062</b>	<b>25,328,624</b>
<b>Current Assets</b>			
Inventories	6	26,399,737	20,920,516
Financial Assets			
- Loans & Advances	7	3,084,617	2,954,317
- Trade Receivables	8	10,909,190	14,137,580
- Cash & Cash Equivalents	9	28,401,322	33,892,899
- Others	10	14,526,821	12,928,724
Other Current Assets	11	149,165	161,372
		<b>83,470,852</b>	<b>84,995,408</b>
<b>Total Assets</b>		<b>106,751,914</b>	<b>110,324,032</b>
<b>EQUITY &amp; LIABILITIES</b>			
<b>Equity</b>			
Share Capital	12	100,003,000	100,003,000
Other Equity		(17,294,198)	(17,787,070)
		<b>82,708,802</b>	<b>82,215,930</b>
<b>Non-Current Liabilities</b>			
Financial Liabilities			
- Borrowings	13	Nil	Nil
Deferred Tax Liability	14	573,595	1,170,335
		<b>573,595</b>	<b>1,170,335</b>
<b>Current Liabilities</b>			
Financial Liabilities			
- Borrowings	15	12,324,798	9,341,066
- Current Maturities of Long Term Debt	16	Nil	82,753
- Trade Payables	17	9,559,106	16,728,275
- Others	18	1,441,813	766,873
Provisions	19	143,800	18,800
		<b>23,469,517</b>	<b>26,937,767</b>
<b>Total Liabilities</b>		<b>106,751,914</b>	<b>110,324,032</b>
<b>Notes forming Part of the Financial Statements</b>	1-27		

**AUDITORS**

AS PER OUR REPORT OF EVEN DATE ATTACHED  
FOR **ADIWISE M.K. & ASSOCIATES**  
CHARTERED ACCOUNTANTS  
FRN : 007180N

Sd/-  
**(RAJKARAN)**  
PARTNER  
M.NO. 086022

PLACE : DELHI  
DATE : 30/06/2021

**FOR AND ON BEHALF OF THE BOARD OF DIRECTORS**

Sd/-  
**SANJAI SETH**  
(Whole Time Director)  
DIN : 00350518

Sd/-  
**VIKAS SETH**  
(Managing Director)  
DIN : 00383194

Sd/-  
**MANISHA**  
(Company Secretary)

Sd/-  
**PAWAN CHAWLA**  
C.F.O.

**STATEMENT OF PROFIT & LOSS FOR THE YEAR ENDED 31ST MARCH, 2021**

PARTICULARS	NOTE NO.	CURRENT YEAR AT 31/3/2021 (In Rs.)	PREVIOUS YEAR AT 31/03/2020 (In Rs.)
<b>I. REVENUE FROM OPERATIONS :</b>			
(a) Revenue from Operations	20	3,958,356	(7,484,787)
(b) Other Income	21	2,971,423	4,733,631
<b>TOTAL REVENUE</b>		<b>6,929,779</b>	<b>(2,751,156)</b>
<b>II. EXPENSES</b>			
(a) Employee's Benefit Expenses	22	3,555,800	3,963,700
(b) Depreciation and Amortization Expenses	3	235,885	242,825
(c) Finance Costs	23	947,259	1,031,112
(d) Other Expenses	24	2,169,703	5,822,815
<b>TOTAL EXPENSES</b>		<b>6,908,647</b>	<b>11,060,452</b>
<b>III. PROFIT BEFORE TAX (I-II)</b>		<b>21,132</b>	<b>(13,811,608)</b>
<b>IV. TAX EXPENSES</b>			
(a) Current Tax		125,000	-
(b) Deferred Tax (Assets)/Liability		(596,740)	904,820
(c) Earlier Years Tax		-	-
<b>V. PROFIT AFTER TAX (III-IV)</b>		<b>492,872</b>	<b>(14,716,428)</b>
<b>OTHER COMPREHENSIVE INCOME ('OCI')</b>			
Items not to be classified to Profit & Loss A/c		-	-
Items to be reclassified to Profit & Loss A/c		-	-
<b>OTHER COMPREHENSIVE INCOME FOR THE YEAR</b>		<b>-</b>	<b>-</b>
<b>VI. EARNING PER SHARE</b>			
Basic & Diluted (Face value Rs. 10/- per equity shares)		0.0493	(1.4716)

**NOTES FORMING PART OF THE  
FINANCIAL STATEMENTS**

**1 - 27**

**AUDITORS**

AS PER OUR REPORT OF EVEN DATE ATTACHED  
FOR **ADIWISE M.K. & ASSOCIATES**  
CHARTERED ACCOUNTANTS  
FRN : 007180N

Sd/-  
**(RAJKARAN)**  
PARTNER  
M.NO. 086022

PLACE : DELHI  
DATE : 30/06/2021

**FOR AND ON BEHALF OF THE BOARD OF DIRECTORS**

Sd/-  
**SANJAI SETH**  
(Whole Time Director)  
DIN : 00350518

Sd/-  
**VIKAS SETH**  
(Managing Director)  
DIN : 00383194

Sd/-  
**MANISHA**  
(Company Secretary)

Sd/-  
**PAWAN CHAWLA**  
C.F.O.

**STATEMENT OF CASH FLOWS FOR THE YEAR ENDED MARCH 31, 2021**

PARTICULARS	For the year ended 31 March, 2021 (In Rs.)	For the year ended 31 March, 2020 (In Rs.)
<b>Cash Flow from Operating Activities</b>		
<b>Profit Before tax</b>	<b>21,132</b>	<b>(13,811,608)</b>
Add: Depreciation	235,885	242,825
Finance Cost	947,259	1,031,112
Unrealised Loss / (Gain) on Investments	(209,265)	3,370,000
Loss/(Gain) on sale of Investment	(760,316)	-
Less: Dividend Income	(299,112)	(376,927)
Interest Income	(1,702,903)	(1,733,894)
<b>Operating profit before working capital changes</b>	<b>(1,767,320)</b>	<b>(11,278,492)</b>
Adjustments for :		
(Decrease)/increase in Borrowings	2,983,732	-3,445,837
(Decrease)/increase in Trade Payables	(7,169,169)	(4,256,864)
(Decrease)/Increase in Current Liabilities	674,940	208,928
(Increase)/Decrease in Short term Advances	(130,300)	(474,964)
(Increase)/Decrease in Trade Receivables	3,228,390	(4,869,120)
(Increase)/Decrease in Other (Financial Assets)	(1,598,097)	2,105,749
(Increase)/Decrease in Inventories	(5,479,221)	26,390,705
(Increase)/Decrease in Other Current Assets	12,207	(125,959)
Income tax paid	-	-
<b>A. Net cash from operating activities</b>	<b>(9,244,838)</b>	<b>4,254,146</b>
<b>Cash Flow from Investing Activities</b>		
Purchase of fixed assets	(8,475)	(43,661)
Purchase of Investments	-	-
Sale of Investments	2,748,733	-
Other financial Assets	41,000	41,000
Dividend income	299,112	376,927
Interest Income	1,702,903	1,733,894
<b>B. Net cash from Investing activities</b>	<b>4,783,273</b>	<b>2,108,160</b>
<b>Cash Flow from Financing Activities</b>		
Share Capital Issued	-	-
Repayment Long Term Loans	(82,753)	(114,363)
Interest Paid	(947,259)	(1,031,112)
<b>C. Net cash from Financing Activity</b>	<b>(1,030,012)</b>	<b>(1,145,475)</b>
<b>Net cash flows (A+B+C)</b>	<b>(5,491,577)</b>	<b>5,216,831</b>
<b>Cash and Cash Equivalent as at the beginning</b>	<b>33,892,899</b>	<b>28,676,068</b>
<b>Cash &amp; Cash Equivalent as at the end</b>	<b>28,401,322</b>	<b>33,892,899</b>

**AUDITORS**

AS PER OUR REPORT OF EVEN DATE ATTACHED  
FOR **ADIWISE M.K. & ASSOCIATES**  
CHARTERED ACCOUNTANTS  
FRN : 007180N

Sd/-  
**(RAJKARAN)**  
PARTNER  
M.NO. 086022

PLACE : DELHI  
DATE : 30/06/2021

**FOR AND ON BEHALF OF THE BOARD OF DIRECTORS**

Sd/-  
**SANJAI SETH**  
(Whole Time Director)  
DIN : 00350518

Sd/-  
**MANISHA**  
(Company Secretary)

Sd/-  
**VIKAS SETH**  
(Managing Director)  
DIN : 00383194

Sd/-  
**PAWAN CHAWLA**  
C.F.O.



**STATEMENT OF CHANGES IN EQUITY**

Particulars	Share Capital		Other Equity				Total Equity	
	No. of Shares	Amount	Share Premium	Retained Earnings	General Reserve	Capital Reserve		Total
<b>As of April 1, 2019</b>	10,000,300	100,003,000	-	(3,070,642)	-	-	(3,070,642)	96,932,358
Loss for the year	-	-	-	(14,716,428)	-	-	(14,716,428)	(14,716,428)
Income Tax expense for prior years	-	-	-	-	-	-	-	-
Other Comprehensive Income	-	-	-	-	-	-	-	-
<b>Total Comprehensive Income</b>	-	-	-	(14,716,428)	-	-	(14,716,428)	(14,716,428)
<b>Transactions with owners of Equity</b>								
Dividend Paid (Including Tax)	-	-	-	-	-	-	-	-
<b>As of March 31, 2020</b>	10,000,300	100,003,000	-	(17,787,070)	-	-	(17,787,070)	82,215,930
Profit for the year	-	-	-	492,872	-	-	492,872	492,872
Income Tax expense for prior years	-	-	-	-	-	-	-	-
Other Comprehensive Income	-	-	-	-	-	-	-	-
<b>Total Comprehensive Income</b>	-	-	-	492,872	-	-	492,872	492,872
<b>Transactions with owners of Equity</b>								
Dividend Paid (Including Tax)	-	-	-	-	-	-	-	-
<b>As of March 31, 2021</b>	10,000,300	100,003,000	-	(17,294,198)	-	-	(17,294,198)	82,708,802

**AUDITORS**

AS PER OUR REPORT OF EVEN DATE ATTACHED  
FOR **ADIWISE M.K. & ASSOCIATES**  
CHARTERED ACCOUNTANTS  
FRN : 007180N

Sd/-  
**(RAJKARAN)**  
PARTNER  
M.NO. 086022

PLACE : DELHI  
DATE : 30/06/2021

**FOR AND ON BEHALF OF THE BOARD OF DIRECTORS**

Sd/-  
**SANJAI SETH**  
(Whole Time Director)  
DIN : 00350518

Sd/-  
**VIKAS SETH**  
(Managing Director)  
DIN : 00383194

Sd/-  
**MANISHA**  
(Company Secretary)

Sd/-  
**PAWAN CHAWLA**  
C.F.O.

**NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED MARCH 31, 2021**

**NOTE 1: CORPORATE INFORMATION**

My Money Securities Limited is a public limited company and incorporated as under the provisions of the Companies Act, 1956. Its shares are listed on Bombay Stock Exchange (BSE Ltd.). The company is engaged in the business of dealing in shares, currency & Depository participant and acting as a Broker in the National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd and Depository participant with Central Depository Services (I) Ltd.

**NOTE 2: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND ESTIMATES**

**a) Basis of Preparation**

The financial statements have been prepared on the historical cost basis except for certain financial assets which have been measured at fair value

The financial statements of the company have been prepared to comply in all material aspects with the Indian Accounting Standards ('Ind AS') notified under section 133 of the Companies Act, 2013, read together with Rule 3 of the Companies (Indian Accounting Standards) Rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2016, issued by the Ministry of Corporate Affairs ('MCA')

The accounting policies, as set out in the following paragraphs of this note have been consistently applied, by the Company to all periods presented in the said financial statements.

The financial statements are based on the classification provisions contained in Ind AS 1, 'Presentation of Financial Statements' and division II of Schedule III of Companies Act, 2013.

All the amounts included in the financial statements are reported in Indian Rupees.

**b) Basis of Measurement**

The financial statements have been prepared on the accrual and going concern basis, and the historical cost convention except where the Ind AS requires a different accounting treatment. The principal variations from the historical cost convention relate to financial instruments classified as fair value through profit or loss.

**Fair Value Measurement**

Fair value is the price at measurement date, at which an asset can be sold or paid to transfer a liability, in an orderly transaction between market participants. The Company's accounting policies require, measurement of certain financial assets at fair value.

**c) Revenue Recognition**

Revenue is recognized when it is probable that the entity will received the economic benefits associated with the transaction and the related revenue can be measured reliably. Revenue is recognized at the fair market value of consideration received or receivable.

Dividend Income is recognized when the Company's right to received the payment is established.

**d) Property, Plant and Equipment ('PPE')**

PPE are initially recognized at cost. The initial cost of PPE comprises its purchase price (including non-refundable duties and taxes but excluding trade discounts and rebates), and any directly attributable cost of bringing the asset to its working condition and location for its intended use.

Subsequent to initial recognition, PPE are stated at cost less accumulated depreciation or any impairment losses. When significant parts of PPE are required to be replaced in regular intervals, the Company recognises such parts as separate component of assets.

Depreciation on PPE is computed using the Written-down Value method over the useful life of the asset as defined in Schedule II to the Companies Act, 2013.

**e) Financial Instruments**

The financial instruments are recognized in the balance sheet when the Company becomes a party to the contractual provisions of the financial instrument.

The determines the classification of its financial instruments at initial recognition.

The Company has classified all its Financial Assets as measured at Fair Value through Profit & Loss Account and all its Financial Liabilities as measured at Amortised Cost.

**f) Inventory**

The Company is primarily engaged in the dealing of shares therefore the inventory mainly consists financial instruments. Closing stock of shares is carried at cost or market price whichever is lower as on reporting date.

Inventory of currency is valued at market value as on reporting date.

**g) Taxes**

The income tax expense comprises of current and deferred income. Income tax recognized in the statement of Profit and Loss, except to the extent that it relates to items recognised in the other comprehensive income or directly in equity, in which case the related income tax is also recognised accordingly.

**i. Current Tax**

The current tax is calculated on the basis of the tax rates, laws and regulations, which have been enacted or subsequently enacted as at the reporting date. The payment made in excess / shortfall of the Company's income tax obligation for the period is recognized in the balance sheet as current asset / liability.

**ii. Deferred Tax**

Deferred tax is recognised, using the liability method, on temporary differences arising between the tax bases of assets and liabilities using and their carrying value in the financial statements. Deferred tax assets are recognised only to the extent that it is probable that future taxable profit will be available against which the temporary differences can be utilised.

**h) Cash and Cash Equivalents**

Cash and cash equivalents includes cash in hand, bank balance and any deposits with original maturities of three months or less. However, for the purpose of cash flows, in addition to the above items, any bank overdrafts / cash credits that are integral part of the Company's cash management, are also included as a component of cash and cash equivalents.

**i) Provisions**

Provisions are recognized when the Company has a present obligation (legal or constructive) as a result of past event, it is probable that an outflow of resources will be required to settle the said obligation, and the amounts of said obligation can be reliably estimated.

**j) Contingencies**

A disclosure for contingent liability is made when there is a possible obligation or a present obligation that may, but probably will not, require an outflow of resources. When there is a possible obligation or a present obligation in respect of which the likelihood of outflow of resources is remote, no provision or disclosure is made.

**k) Borrowing Costs**

Borrowing costs consist of interest and other ancillary costs that the Company incurs in connection with the borrowing of funds. The borrowing costs directly attributable to the acquisition or construction of any asset that takes a substantial period of time to get ready for its intended use or sale are capitalised. All the other borrowing costs are recognised in the statement of profit and loss within finance costs of the period in which they are incurred.

## NOTES FORMING PART OF THE FINANCIAL STATEMENTS AS AT MARCH 31, 2021

### NOTE 3: PROPERTY, PLANT AND EQUIPMENT

Particulars	Vehicles	Furniture & Fixtures	Office Equipment	Computers	Total
<b>Gross Carrying Value</b>					
Balance as of April 1, 2019	2,641,943	462,943	604,012	2,420,058	6,128,956
Additions	-	30,000	13,661	-	43,661
Disposals	-	-	-	-	-
Balance as of March 31, 2020	2,641,943	492,943	617,673	2,420,058	6,172,617
Additions	-	-	8,475	-	8,475
Disposals	-	-	-	-	-
Balance as at March 31, 2021	2,641,943	492,943	626,148	2,420,058	6,181,092
<b>Accumulated Depreciation</b>					
Balance as of April 1, 2019	2,144,828	403,261	445,785	1,869,394	4,863,268
Charge	129,861	4,451	24,566	83,947	242,825
Disposals / Adjustment	-	-	-	-	-
Balance as of March 31, 2020	2,274,689	407,712	470,351	1,953,341	5,106,093
Charge	126,230	5,083	24,941	79,631	235,885
Disposals / Adjustment	-	-	-	-	-
Balance as at March 31, 2021	2,400,919	412,795	495,292	2,032,972	5,341,978
<b>Net Carrying Value</b>					
As at March 31, 2020	367,254	85,231	147,322	466,717	1,066,524
As at March 31, 2021	241,024	80,148	130,856	387,086	839,114

### PARTICULARS

	As at March 31, 2021 (In Rs.)	As at March 31, 2020 (In Rs.)
<b>4 INVESTMENTS</b>		
Investments classified as Fair Value through Profit & Loss (Annexure : I)	18,357,448	20,136,600
<b>TOTAL</b>	<u>18,357,448</u>	<u>20,136,600</u>
<b>5 OTHER NON-CURRENT FINANCIAL ASSETS</b>		
Advance against Lease Property	4,000,000	4,000,000
Security Deposits with Airtel	2,500	2,500
Advance fee to SEBI	82,000	123,000
<b>TOTAL</b>	<u>4,084,500</u>	<u>4,125,500</u>
<b>6 INVENTORY</b>		
Closing stock of shares (Annexure : II)	26,399,737	20,920,516
<b>TOTAL</b>	<u>26,399,737</u>	<u>20,920,516</u>

PARTICULARS	As at March 31, 2021 (In Rs.)	As at March 31, 2020 (In Rs.)
<b>7 CURRENT LOANS AND ADVANCES</b>		
Loans and advances to employees	246,760	217,560
Advance Income Tax	2,737,811	2,586,688
Advance Lease Line Charges (SIFY)	100,046	150,069
<b>TOTAL</b>	<b>3,084,617</b>	<b>2,954,317</b>
<b>8 TRADE RECEIVABLES</b>		
(a) Trade receivables outstanding for a period exceeding 6 months	3,738,789	3,204,007
(b) Other Trade Receivables	7,170,401	10,933,573
<b>TOTAL</b>	<b>10,909,190</b>	<b>14,137,580</b>
<b>9 CASH &amp; CASH EQUIVALENTS</b>		
Cash-in-Hand	113,670	902,019
Balance with Banks	4,667,128	9,181,421
Term Deposits	23,620,524	23,809,459
<b>TOTAL</b>	<b>28,401,322</b>	<b>33,892,899</b>
<b>10 OTHER CURRENT FINANCIAL ASSETS</b>		
Advance for Property	2,550,000	2,550,000
Deposit with NSE (CM)	5,000,000	5,000,000
Deposit with NSE (F&O)	800,000	800,000
Deposit FOR F&O segment	2,900,000	2,400,000
Interest Accrued on FD	2,776,821	1,678,724
Deposit with CDSL	500,000	500,000
<b>TOTAL</b>	<b>14,526,821</b>	<b>12,928,724</b>
<b>11 OTHER CURRENT ASSETS</b>		
Other Receivables	149,165	112,985
GST Recoverable	Nil	48,387
<b>TOTAL</b>	<b>149,165</b>	<b>161,372</b>

**12 SHARE CAPITAL**

Particulars	As at 31 March, 2021		As at 31 March, 2020	
	Number of shares	Amount (Rs.)	Number of shares	Amount (Rs.)
<b>1.1 (a) Authorised:</b>				
21500000 Equity Shares of Rs.10 Each	21,500,000	215,000,000	21,500,000	215,000,000
10,000 Preference Shares of Rs. 1,000 Each	10,000	10,000,000	10,000	10,000,000
	<b>21,510,000</b>	<b>225,000,000</b>	<b>21,510,000</b>	<b>225,000,000</b>
<b>(b) Issued, Subscribed and paid up</b>				
1,00,00,300 Nos. Equity Shares of Rs. 10 Each, fully paid-up	10,000,300	100,003,000	10,000,300	100,003,000

1.2 No shares out of the above were allotted for consideration other than cash in the last five years

1.3 All the shares issued carry equal voting rights

1.4 Details of Shareholders holding more than 5% of the Shares

Class of Shareholder / Name of Shareholder	As at 31 March, 2021		As at 31 March, 2020	
	Number of shares	% of holding	Number of shares	% of holding
Sanjai Seth	653,600	6.54%	653,600	6.54%
Vikas Seth	546,850	5.47%	546,850	5.47%
Rajni Seth	790,300	7.90%	790,300	7.90%
My Money Technologies (P) Ltd.	2,000,000	20.00%	2,000,000	20.00%
Camco Commodities (P) Ltd.	1,194,100	11.94%	1,194,100	11.94%
	<b>5,184,850</b>		<b>5,184,850</b>	

1.5 Reconciliation of the Number of shares outstanding

PARTICULARS	As at March 31, 2021	As at March 31, 2020
Equity Shares at the Beginning of the year	10,000,300	10,000,300
Issued during the year	-	-
Buy Back during the year	-	-
Equity Shares at the end of the year	10,000,300	10,000,300

**PARTICULARS**

**As at**  
**March 31, 2021**  
**(In Rs.)**

**As at**  
**March 31, 2020**  
**(In Rs.)**

**13 NON-CURRENT BORROWINGS**

Loans from Banks	Nil	Nil
<b>TOTAL</b>	<b>Nil</b>	<b>Nil</b>

PARTICULARS	As at March 31, 2021 (In Rs.)	As at March 31, 2020 (In Rs.)
<b>14 DEFERRED TAX LIABILITY</b>		
Opening Balance	1,170,335	265,515
Charge for the period	(596,740)	904,820
<b>TOTAL</b>	<b>573,595</b>	<b>1,170,335</b>
<b>15 CURRENT BORROWINGS</b>		
(a) Secured		
Bank Overdraft with Canara Bank, Delhi (OD towards deposit of Fixed deposits of Rs. 1.15 crore)	10,085,557	9,203,516
(b) Unsecured		
Bank Overdraft with Canara Bank, Mumbai (OD from Canara Bank, Mumbai towards deposit of shares)	2,239,241	137,550
<b>TOTAL</b>	<b>12,324,798</b>	<b>9,341,066</b>
<b>16 CURRENT MATURITIES OF LONG TERM DEBT</b>		
Loans from Banks	Nil	82,753
<b>TOTAL</b>	<b>Nil</b>	<b>82,753</b>
<b>17 TRADE PAYABLES</b>		
Sundry Creditors	7,761,087	13,340,351
Advance from Customers as Margin Money	1,798,019	3,387,924
<b>TOTAL</b>	<b>9,559,106</b>	<b>16,728,275</b>
<b>18 OTHER CURRENT LIABILITIES</b>		
(a) Statutory Dues		
TDS Payable	13,256	12,450
Stamp Duty Payable	30,003	36,597
GST Payable	14,073	Nil
(b) Outstanding Expenses	1,384,481	717,826
<b>TOTAL</b>	<b>1,441,813</b>	<b>766,873</b>
<b>19 CURRENT PROVISIONS</b>		
Provision for Tax	143,800	18,800
<b>TOTAL</b>	<b>143,800</b>	<b>18,800</b>

PARTICULARS	For the year ended 31 March, 2021 (In Rs.)	For the year ended 31 March, 2020 (In Rs.)
<b>20 REVENUE FROM OPERATIONS</b>		
(a) Brokerage Income	1,914,483	1,323,546
(b) Other Income	175,529	76,695
(c) Net Gain/(loss) on sale of Shares	1,868,344	(9,935,028)
(d) Syndication Income	Nil	1,050,000
<b>TOTAL</b>	<b>3,958,356</b>	<b>-7,484,787</b>
<b>21 OTHER INCOMES</b>		
(a) Income from Capital gain on Investment	760,143	Nil
(b) Income from Insurance maturity	Nil	1,212,310
(b) Interest on Bank Deposit	1,583,586	1,659,304
(c) Interest on other Deposit	119,317	74,590
(d) Dividend Income	299,112	376,927
(e) Miscellaneous Income	Nil	1,410,500
(f) Unrealised profit on Investment	209,265	Nil
<b>TOTAL</b>	<b>2,971,423</b>	<b>4,733,631</b>
<b>22 EMPLOYEE BENEFIT EXPENSES</b>		
(a) Directors Remuneration	1,776,000	1,776,000
(b) Salary & Benefits (HRA)	1,779,800	2,187,700
<b>TOTAL</b>	<b>3,555,800</b>	<b>3,963,700</b>
<b>23 FINANCE COST</b>		
(a) Interest Expenses on Borrowing	869,045	853,537
(b) Bank Guarantee Charges	58,470	162,450
(c) Bank Charges	19,744	15,125
<b>TOTAL</b>	<b>947,259</b>	<b>1,031,112</b>



PARTICULARS	For the year ended 31 March, 2021 (In Rs.)	For the year ended 31 March, 2020 (In Rs.)
<b>24 OTHER EXPENSES</b>		
Advertising Expenses	22,080	129,625
Auditors Remuneration	50,000	50,000
Business Promotion & Marketing Expenses	21,148	8,945
Computer & Software Maintenance	105,526	99,262
Conveyance Expenses	31,756	48,172
Depository Charges	21,617	82,923
Own Depository expenses	138,417	136,504
Directors Meeting Expenses	7,652	15,170
Exchange Expenses	66,224	76,860
Exchange V-Sat/Lease Line Charges	124,475	51,000
General Expenses	60,784	124,276
Insurance Expenses	16,832	28,164
Listing Expenses	410,000	402,000
Membership Fee & Subscription	115,195	152,818
Office Expenses	26,004	48,861
Power & Fuel Charges	179,046	199,524
Printing & Stationery	35,250	19,851
Professional & Legal Expenses	223,500	242,600
Rent	360,000	360,000
SEBI Fees	1,242	636
SEBI (DP Annual Fee)	41,000	41,000
Stamp charges	1,279	1,435
STT Expenses	15,708	13,937
Telephone Expenses	94,968	100,067
Travelling Expenses	Nil	19,185
Unrealised Loss on investments	Nil	3,370,000
<b>TOTAL</b>	<b>2,169,703</b>	<b>5,822,815</b>

### NOTE 25: RELATED PARTY DISCLOSURES

#### A. List of Related Parties

Name of the Key Management Personnel	Designation
Mr. Vikas Seth	Managing Director
Mr. Sanjai Seth	Whole time Director
Mr. Pawan Chawla	C.F.O.
Mr. Varun Kalra*	Company Secretary
Ms. Manisha**	Company Secretary
Name of Relatives	Relations
Mr. Govind Narain Seth	Father of Mr. Sanjai Seth & Mr. Vikas Seth

\* Resigned on 01/08/2020

\*\* Appointed on 14/08/2020

**B. Transactions with Related Parties:**

**(Rs. In thousands)**

Name of Related Party	Relation	Nature of Transaction	As at March 31, 2021 (Rs.)	As at March 31, 2020 (Rs.)
Mr. Sanjai Seth	Key Management Personnel	Remuneration	1068	1068
Mr. Vikas Seth	Key Management Personnel	Remuneration	708	708
Mr. Pawan Chawla	Key Management Personnel	Remuneration	522	511
Mr. Varun Kalra	Key Management Personnel	Remuneration	108	324
Ms. Manisha	Key Management Personnel	Remuneration	147	N.A.
Mr. Govind Narain Seth	Relative of Key Management Personnel	Rent	360	360

**NOTE 26: CONTINGENT LIABILITIES**

**a. Guarantees:**

1. Bank Guarantee of Rs. 30,00,000/- is provided to National Stock Exchange (NSE) towards Base Capital. It is against 50% Margin including Fixed Deposits.

**NOTE 27: PREVIOUS YEAR FIGURE**

Previous years' figures have been regrouped and re-arranged wherever necessary to make them comparable to the figures of the current year.

**AUDITORS**

AS PER OUR REPORT OF EVEN DATE ATTACHED  
**FOR ADIWISE M.K. & ASSOCIATES**  
 CHARTERED ACCOUNTANTS  
 FRN : 007180N

Sd/-  
**(RAJKARAN)**  
 PARTNER  
 M.NO. 086022

PLACE : DELHI  
 DATE : 30/06/2021

**FOR AND ON BEHALF OF THE BOARD OF DIRECTORS**

Sd/-  
**SANJAI SETH**  
 (Whole Time Director)  
 DIN : 00350518

Sd/-  
**VIKAS SETH**  
 (Managing Director)  
 DIN : 00383194

Sd/-  
**MANISHA**  
 (Company Secretary)

Sd/-  
**PAWAN CHAWLA**  
 C.F.O.

**Details of Investment**

Scrip Name	Face Value	Quantity As At 31st March 21	Total Fair Value As At 31st March 21	Quantity As At 31st March 20	Total Fair Value As At 31st March 20
<b>(a) Trade Investment In Equity Shares (Quoted)</b>					
(I) HPL Electric Power Ltd	10/-	7722	375675	100000	2155000
<b>(b) Fully PaidUp Unquoted Equity Shares (Non Trade)</b>					
(I) Associates					
Camco Commodities (P) Ltd.	10/-	148700	2676600	148700	2676600
My Money Credits (P) Ltd.	10/-	85000	850000	85000	850000
<b>(c) Fully Paid Up UnQuoted Equity Shares (Non Trade)</b>					
Mehak Infracon (P) Ltd	10/-	170000	14450000	170000	14450000
<b>(d) Fully Paid Up Quoted Units in Mutual Fund (Trade)</b>					
(I) Birla Sunlife Floating Rate-Short	100/-	83	5173	83	5000
<b>TOTAL</b>		<b>411505</b>	<b>18357448</b>	<b>503783</b>	<b>20136600</b>

**Details of Inventory**

Scrip Name	Face Value	Quantity As At 31st March 21	Amount As At 31st March 21	Quantity As At 31st March 20	Amount As At 31st March 20
Axis Cades	5/-	1000	28150	1000	28150
ACC	10/-	200	193700	200	193700
Aditya Birla Fashion	10/-	15	Nil	15	Nil
Aditya Birla Capital	10/-	5	Nil	5	Nil
Anant Raj Global	2/-	2000	57900	Nil	Nil
BHEL	2/-	1560	32448	1560	32448
BHARTI AIRTEL	5/-	30	8753	30	8753
Chambal Fertilizer	10/-	10000	1084500	10000	1084500
Canara Bank	10/-	316	46468	Nil	Nil
Crompton Greaves (CG Power)	2/-	7875	39769	7875	39769
Crompton Greaves Consumer Elec Ltd	10/-	7875	653625	7875	653625
Cummins India	2/-	500	163350	500	163350
Eon Electric	5/-	3293	Nil	3293	22886
GAIL	10/-	2666	204082	2666	204082
GNFC	10/-	3000	204300	3000	204300
Grasim Ind Ltd	2/-	4	1335	4	1335
Haryana Sheet	10/-	1000	6250	1000	6250
HDFC Bank	2/-	2320	1046726	2320	1046726
Hexa Tradex Ltd	2/-	1000	Nil	1000	Nil

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Scrip Name	Face Value	Quantity As At 31st March 21	Amount As At 31st March 21	Quantity As At 31st March 20	Amount As At 31st March 20
HPL Electric & Power Ltd	10/-	212708	4790957	202278	4359091
ITC Ltd.	10/-	95	99095	95	99095
IDBI Bank	10/-	1500	28950	1500	28950
ISMT Ltd	5/-	100	245	100	245
Infosys Ltd	5/-	1600	817800	1600	817800
ITC Ltd.	1/-	3000	515100	3000	515100
Jindal Saw	2/-	5000	193500	5000	193500
JITF Infralogistics Ltd	2/-	401	Nil	401	Nil
Junior Bees	1.25/-	50	9407	50	9407
KCP Sugar	1/-	200	2620	200	2620
Liquid Benchmark	1000/-	21.907	11117	21.44	10000
M&M	5/-	800	227960	800	227960
Magma Fincorp	2/-	54500	4363133	Nil	Nil
NTPC	10/-	24	2021	24	2021
Nutek	5/-	45000	Nil	45000	13500
OPTO CIRCUIT	10/-	7	11	7	11
Omax Auto	10/-	1000	42350	60000	1158000
Paramount Cable	2/-	6709	9057	6709	9057
Kore Foods Ltd	10/-	800	1976	800	1976
Reliance Ind. Ltd	10/-	4800	3041412	4800	3041412
Reliance Ind. Ltd.-PP	10/-	320	100560	Nil	Nil
Reliance Comm.	5/-	400	260	400	260
Roofit Industries	10/-	5100	9180	5100	9180
SEAMEC LTD	10/-	26193	7112014	22284	5560645
State Bank of India	1/-	710	22409	710	22409
Sintex Ind. Ltd	1/-	5000	3250	5000	3250
Sintex Plastics Tech	1/-	5000	3250	5000	3250
Sri Adhikari	10/-	600	750	600	750
SAB Events	10/-	180	90	180	90
SQD SOFTWARE	10/-	1000	7450	1000	7450
Syndicate Bank	10/-	Nil	Nil	2000	86400
TATA Motors	2/-	1097	77942	1097	77942
TALBROSQ	10/-	11481	970636	11481	805392
TV Vision Ltd	10/-	600	630	600	630
Zee Entertainment	1/-	1096	135849	1096	135849
Zuari Global	10/-	1000	27400	1000	27400
<b>TOTAL</b>		<b>442,752</b>	<b>26,399,737</b>	<b>432,276</b>	<b>20,920,516</b>

**NOTES**

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**NOTES**

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